SUPREME COURT OF THE UNITED STATES

IN THE SUPREME COURT OF THE	UNITED STATES
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NEW YORK STATE RIFLE & PISTOL)
ASSOCIATION, INC., ET AL.,)
Petitioners,)
v.) No. 20-843
KEVIN P. BRUEN, IN HIS OFFICIAL)
CAPACITY AS SUPERINTENDENT OF)
NEW YORK STATE POLICE, ET AL.,)
Respondents.)

Pages: 1 through 122

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13	Washington, D.C.
14	Wednesday, November 3, 2021
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16	The above-entitled matter came on for
17	oral argument before the Supreme Court of the
18	United States at 10:00 a.m.
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1	APPEARANCES:
2	PAUL D. CLEMENT, ESQUIRE, Washington, D.C.; on behalf
3	of the Petitioners.
4	BARBARA D. UNDERWOOD, Solicitor General, New York, New
5	York; on behalf of the Respondents.
6	BRIAN H. FLETCHER, Principal Deputy Solicitor General
7	Department of Justice, Washington, D.C.; for the
8	United States, as amicus curiae, supporting the
9	Respondents.
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1	PROCEEDINGS
2	(10:00 a.m.)
3	CHIEF JUSTICE ROBERTS: Justice
4	Gorsuch is participating remotely this morning.
5	We will hear argument this morning in
6	Case 20-843, New York State Rifle & Pistol
7	Association versus Bruen.
8	Mr. Clement.
9	ORAL ARGUMENT OF PAUL D. CLEMENT
10	ON BEHALF OF THE PETITIONERS
11	MR. CLEMENT: Mr. Chief Justice, and
12	may it please the Court:
13	The text of the Second Amendment
14	enshrines a right not just to keep arms but to
15	bear them, and the relevant history and
16	tradition, exhaustively surveyed by this Court
17	in the Heller decision, confirm that the text
18	protects an individual right to carry firearms
19	outside the home for purposes of self-defense.
20	Indeed, that history is so clear that
21	New York no longer contests that carrying a
22	handgun outside of the home for purposes of
23	self-defense is constitutionally protected
24	activity. But that concession dooms New York's
25	law which makes it a crime for a typical

- 1 law-abiding New Yorker to exercise that
- 2 constitutional right.
- 3 This Court in Heller labeled the very
- 4 few comparable laws that restricted all outlets
- 5 for carrying firearms outside the home for
- 6 self-defense outliers that were rightly
- 7 condemned in decisions like Nunn against
- 8 Georgia.
- 9 New York likens its law to a
- 10 restriction on weapons in sensitive places. But
- 11 the difference between a sensitive place law and
- 12 New York's regime is fundamental. It is the
- difference between regulating constitutionally
- 14 protected activity and attempting to convert a
- 15 fundamental constitutional right into a
- 16 privilege that can only be enjoyed by those who
- 17 can demonstrate to the satisfaction of a
- 18 government official that they have an atypical
- 19 need for the exercise of that right.
- 20 That is not how constitutional rights
- 21 work. Carrying a firearm outside the home is a
- 22 fundamental constitutional right. It is not
- 23 some extraordinary action that requires an
- 24 extraordinary demonstration of need.
- 25 Petitioners here seek nothing more

than their fellow citizens in 43 other states 1 2 already enjoy, and those states include some of 3 the most populous cities in the country. states, like New York, limit the firearms in 4 sensitive places but do not prohibit carrying 5 for self-defense in any location typically open 6 7 to the general public. 8 I'm happy to continue by point --JUSTICE THOMAS: Mr. Clement, sorry to 9 interrupt you. The -- if we analyze this and 10 11 use history, tradition, the text of the Second 12 Amendment, we're going to have to do it by 13 analogy. 14 So can you give me a regulation in 15 history that is a base -- that would form a 16 basis for legitimate regulation today? If we're 17 going to do it by analogy, what would we 18 analogize it to? What would that look like? 19 MR. CLEMENT: Well, Your Honor, I 20 suppose, if you're going to reason by analogy, then you could, you know, go back and you could 21 2.2 find analogous restrictions relatively early in 23 our nation's history about prohibiting certain 24 types of firearms or having firearms in -- or 25 any weapon, really, in certain sensitive

- locations, and I think you could reason in that
- 2 way.
- 3 Here, I think the reasoning works the
- 4 opposite direction, which is you typically have
- 5 a baseline right to carry for self-defense, and
- 6 the only historical analogs that really
- 7 restricted the right of a typical law-abiding
- 8 citizen to carry for self-defense to the same
- 9 degree as the New York law here were those laws,
- 10 very few, typically post-Reconstruction laws
- 11 that purported to eliminate any right to carry,
- 12 openly or concealed. And those court -- those
- 13 -- those laws were essentially invalidated by
- 14 every court that was applying an individual
- 15 rights view of the Second Amendment.
- 16 And those decisions, of course, were
- 17 exhaustively considered by this Court in Heller.
- 18 And those decisions were praised for their
- 19 understanding of the Second Amendment and the
- 20 relationship between the prefatory clause and
- 21 the operative clause.
- 22 And, equally important, the -- those
- laws were set forth by this Court and singled
- 24 out by this Court as the very few restrictions
- 25 historically that were comparable to what the

- 1 District of Columbia was doing in Heller.
- 2 JUSTICE THOMAS: So if we look at the
- 3 -- you mentioned the founding and you mentioned
- 4 post-Reconstruction. But, if we are to analyze
- 5 this based upon the history or tradition, should
- 6 we look at the founding, or should we look at
- 7 the time of the adoption of the Fourteenth
- 8 Amendment, which then, of course, applies it to
- 9 the states?
- 10 MR. CLEMENT: So, Justice Thomas, I
- 11 suppose, if there were a case where there was a
- 12 contradiction between those two, you know, and
- 13 the case arose in the states, I would think
- there would be a decent argument for looking at
- 15 the history at the time of Reconstruction as --
- 16 you know, and -- and -- and giving preference to
- 17 that over the founding.
- 18 I think, for this case and for Heller
- 19 and I think for most of the cases that will
- 20 arise, I don't know that the original founding
- 21 history is going to be radically different from
- 22 that at Reconstruction.
- But I guess what I would say is I do
- think that's about where it stops, because the
- 25 point here isn't to look at history for the sake

- 1 of studying history. The point is to look at
- 2 the history that's relevant for understanding
- 3 the original public meaning of the Second
- 4 Amendment and the Fourteenth Amendment.
- 5 JUSTICE KAGAN: Mr. Clement, how could
- 6 it stop there? In Heller, we made very clear
- 7 that laws that restricted felons from carrying
- 8 or possessing arms and laws that forbade
- 9 mentally ill people from doing the same -- we,
- 10 you know, basically put the stamp of approval on
- 11 those laws. And those laws really came about in
- 12 the 1920s, didn't they?
- MR. CLEMENT: You know, Justice Kagan,
- 14 I -- I -- I think some of those laws in their
- current form took that shape in the 1920s, but I
- 16 also think there was a tradition from the
- 17 beginning for keeping certain people outside of
- 18 the group of people that were eligible for
- 19 possession of firearms.
- I -- you know, I think, obviously,
- 21 there is a different tradition with respect to
- 22 felons, in part, because, you know, you start at
- 23 the time of the framing, and most felonies are
- 24 capital crimes. So, you know, the -- the -- the
- 25 need to disenfranchise felons for firearm

- 1 possession was a little different at the
- 2 framing. So I think you do need to make those
- 3 kind of adjustments, but I think those
- 4 adjustments can be made.
- 5 I think, really, there are two reasons
- 6 to at least be skeptical of post-1871 history.
- 7 I mean, the first is I just don't really
- 8 understand why it's terribly relevant in forming
- 9 the original public meaning of the Constitution.
- 10 But, of course, the second reason is it's just
- 11 about that time that the collective rights view
- 12 started to creep into the decisions of some
- 13 state supreme courts.
- 14 And I think -- so in Heller is a
- 15 perfect example that this Court didn't
- absolutely stop its analysis in 1871, but, when
- it looked at those later sort of postbellum
- 18 state supreme court decisions, the ones that
- 19 relied on a collective rights view were given
- 20 very short shrift. And I think that's the
- 21 appropriate way to sort of deal with these
- 22 historical analogs.
- JUSTICE BREYER: Well, I have two --
- 24 two questions. One -- one is on history. I
- 25 mean, it's law office history. In McDonald, we

- 1 had professors of history ran departments in the
- 2 English Civil War and they all said the history
- 3 in Heller was wrong.
- 4 You've read the briefs here. I don't
- 5 know. You read the briefs of the historian of
- 6 the Air Force, and she says it's this way and
- 7 the other ones say it's the other way. How are
- 8 we supposed to deal with that?
- 9 There's a good case -- this is a
- 10 wonderful case for showing both sides. So I'm
- 11 not sure how to deal with the history.
- 12 And my other question is I'm not sure
- 13 what New York does. We're talking here about
- 14 outside New York City. New York says we have
- about 90,000 licenses to carry concealed weapons
- or maybe it's 40,000 or maybe it's 10,000. But
- there's been no trial. There's been no
- 18 proceeding. All it is is dismissed law in the
- 19 -- so -- so -- so how are we supposed to find
- out, A, what the history is, which is my minor
- 21 question, really -- there's a lot of debate on
- 22 that -- but, second, how are we supposed to know
- 23 what we're talking about in terms of what New
- 24 York does since they say they give thou --
- including to one of your clients, they give a

- 1 license to carry a concealed weapon? So there
- 2 are concealed weapon licenses all over the
- 3 place.
- 4 So -- so what are we supposed to do
- 5 about those two things?
- 6 MR. CLEMENT: Well, Justice Breyer,
- 7 let me start with the major question, which is
- 8 -- because I think that's actually very
- 9 straightforwardly answered -- which is there's
- 10 no serious question about the experience of the
- 11 individual Petitioners in this case.
- 12 And they both sought unrestricted
- 13 licenses and they were both denied unrestricted
- licenses, notwithstanding that they satisfy
- every other requirement that the state has to be
- licensed for a concealed carry.
- 17 And so I'm happy to debate why the
- 18 state statistics don't really prove anything
- 19 particularly relevant, but I think they're
- 20 irrelevant for a more fundamental reason. I
- 21 mean, you know, if there were a debate between
- the parties about whether 95 percent or
- 23 90 percent of the citizens of New York were
- denied their confrontation rights in criminal
- 25 trials, but you had before you two individuals

1 who were clearly denied the right to confront 2 the witnesses against them, you wouldn't worry 3 about the other 95 percent --JUSTICE KAGAN: Well, I have to say --4 MR. CLEMENT: -- or the other --5 JUSTICE KAGAN: -- Mr. Clement --6 7 MR. CLEMENT: -- 90 percent. JUSTICE KAGAN: -- that's not really 8 9 the way your brief is written. The way your 10 brief is written is to say, you know, this is a 11 -- a -- a regulatory scheme that deprives 12 most people of the right to carry arms in 13 self-defense. And your brief puts a lot of 14 emphasis on that, like don't believe the state 15 that they are going to really take seriously people's need for self-defense because they 16 17 always reject these licenses. 18 You know, if you had a bunch of 19 statistics which suggest that the state is quite sensitive to people's need for self-defense and 20 gives these licenses a significant amount of the 21 2.2 time, you might think differently about the 23 regulatory scheme, wouldn't you? I mean, that's 24 the way your brief reads to me.

MR. CLEMENT: Well, Justice Kagan, two

- 1 points.
- One is I wouldn't feel any differently
- 3 with respect to my two individual clients, who
- 4 were denied their right to exercise their Second
- 5 Amendment rights.
- But, more broadly, the reason I'm so
- 7 confident that this regime is problematic on its
- 8 face is because, on its face, at least as
- 9 interpreted by the highest court in New York,
- 10 the requirement you need to show in order to
- 11 carry concealed for self-defense but not for
- 12 hunting and target practice is you have to show
- 13 that you have a need for self-defense that
- 14 distinguishes you from the generalized
- 15 community, from the general community.
- So New York's law on its face says
- 17 that the only way that you can carry for
- 18 self-defense is if you demonstrate your
- 19 atypicality with respect to your need for
- 20 self-defense. And that's --
- JUSTICE BREYER: So what do they say?
- 22 Because, look, Mr. Koch can. He has his
- 23 license. He can carry it for self-defense under
- the license to and from work and, as you say,
- 25 can carry it for hunting, target practice, et

- 1 cetera, concealed, and in your opinion, is it
- 2 supposed to say you can carry a concealed gun
- around the streets or the town or outside just
- 4 for fun? I mean, they are dangerous, guns. I
- 5 mean, so what's it supposed to say?
- 6 MR. CLEMENT: It's -- it's supposed to
- 7 be what New York says that they give to lots of
- 8 applicants at least in other counties, which is
- 9 an unrestricted license, which basically means
- 10 that somebody who has demonstrated to the state
- 11 that they're of good moral character, that they
- 12 have all the necessary training, whatever the
- 13 state requires --
- JUSTICE BREYER: So 40,000 --
- 15 MR. CLEMENT: -- whatever the state --
- JUSTICE BREYER: -- or 50,000 or
- 17 60,000 is not enough. You have to show you have
- 18 a good moral character, and then, if you just
- 19 would like to carry a concealed weapon, which is
- 20 a dangerous thing, as I said, you can just do
- 21 it, just that's what the Fourth -- that's -- in
- 22 your opinion, that's what you want, no
- 23 restrictions?
- MR. CLEMENT: Well, certainly, New
- 25 York is entitled to have laws that say that you

- 1 can't have weapons in sensitive places, in
- 2 addition to whatever regulation --
- JUSTICE BREYER: No, no, I'm not
- 4 saying --
- 5 MR. CLEMENT: -- for carrying that.
- 6 JUSTICE BREYER: Right, right. I'm
- 7 not saying that.
- 8 MR. CLEMENT: And -- and -- and New
- 9 York has those laws, and we don't challenge
- 10 those. What we would -- what we're asking for
- 11 -- I mean, one way to think about it is we're
- 12 asking that the regime work the same way for
- 13 self-defense as it does for hunting.
- When my clients go in and ask for a
- license to concealed carry for hunting purposes,
- what they have to tell the state is they have an
- intent to go hunting. They don't have to say:
- 18 I have a really good reason to go hunting. I
- don't have to say I have a better reason to go
- 20 hunting than anybody else in my general
- 21 community. And it's there --
- JUSTICE BREYER: Yeah. Well, the
- 23 difference, of course, you have a concealed
- 24 weapon to go hunting. You're out with an intent
- 25 to shoot, say, a deer or a rabbit, which has its

- 1 problems. But, here, when you have a
- 2 self-defense just for whatever you want to carry
- a concealed weapon, you go shooting it around
- 4 and somebody gets killed.
- 5 MR. CLEMENT: With respect, Justice
- 6 Breyer, that's not been the experience in the 43
- 7 jurisdictions that allow their citizens to have
- 8 the same rights that my -- my clients are
- 9 looking for. This is not something where we're
- 10 asking you to take some brave new experiment
- that no jurisdiction in Anglo-American history
- 12 have -- have --
- JUSTICE SOTOMAYOR: Mr. Clement --
- MR. CLEMENT: -- have ever done.
- JUSTICE SOTOMAYOR: -- may I -- you're
- 16 talking about 43 other jurisdictions. And I
- 17 suspect that when we get into those 43 other
- 18 jurisdictions that there are going to be a
- 19 handful that are identical.
- The one thing that I've looked at in
- 21 this history is the plethora of regimes that
- 22 states pick, and that starts in English law,
- through the colonies, through post-Constitution,
- to post-Civil War, to the 19th Century, to even
- now, those 43 states that you're talking about,

- 1 most of them didn't give unrestricted rights to
- 2 carry in one form or another until recent times.
- Before recent times, there were so
- 4 many different regulations. What it appears to
- 5 me is that the history tradition of carrying
- 6 weapons is that states get a lot of deference on
- 7 this. And the one deference that you don't --
- 8 haven't addressed is the question presented is
- 9 what's the law with respect to concealed
- weapons.
- In 1315, the British Parliament
- 12 specifically banned the carrying of concealed
- 13 arms. In colonial America, at least four, if
- 14 not five, states restricted concealed arms.
- 15 After the Civil War, there were many, many more
- states, some include it in their constitution,
- 17 that you can have a right to arms but not
- 18 concealed.
- 19 You can go to Alabama, Georgia, and
- 20 Louisiana, which are now more open -- are more
- 21 free in granting the right to carry guns, but
- they prohibited through their history concealed
- 23 weapons, the carrying of concealed weapons.
- It seems to me that if we're looking
- at that history and tradition with respect to

- 1 concealed arms that there is not the same
- 2 requirement that there is in the home.
- 3 One of the things Heller pointed to
- 4 was there were few regulations that prohibited
- 5 the carrying or the keeping of arms in homes.
- 6 But that's not true with respect to the
- 7 regulations about keeping of arms outside of
- 8 homes.
- 9 Putting aside the -- the prohibitions,
- 10 regulations on sensitive places, regulations on
- 11 the types of people, it seems to me that I don't
- 12 know how I get past all that history --
- MR. CLEMENT: Well, Justice --
- JUSTICE SOTOMAYOR: -- without you
- sort of making it up and saying there's a right
- 16 to control states that has never been exercised
- in the entire history of the United States as to
- 18 how far they can go in saying this poses a
- 19 danger.
- 20 MR. CLEMENT: So, Justice Sotomayor,
- 21 there's a lot to that question. I'll try to
- take it, you know, sequentially if I can.
- I mean, you know, let's start with
- 24 concealed carry restrictions. I mean, it is
- 25 true that during time periods where open carry

- 1 was allowed that some states did specifically
- 2 restrict concealed carry on the precise theory
- 3 that if we allow you to carry open, then, if
- 4 you're carrying concealed, you're probably up to
- 5 no good.
- 6 And Heller did exhaustively survey
- 7 those cases, and what it concluded is that if a
- 8 state allows open carry, then it can prohibit
- 9 concealed carry, I suppose vice versa, and --
- 10 JUSTICE SOTOMAYOR: But you're asking
- 11 us to make the choice for the legislature.
- 12 We're only looking at concealed here.
- MR. CLEMENT: We are not asking you to
- 14 make that, and --
- JUSTICE SOTOMAYOR: Well, yeah, you
- are, because you're conditioning history on a
- 17 different fact.
- 18 MR. CLEMENT: I don't think we're
- 19 asking to -- for anybody to make that choice.
- 20 In fact, the relief we've asked for is to have
- 21 an unrestricted license because, under New York
- 22 law as it currently exists, that's the only way
- that you can have a carry right for a handgun.
- But, in framing our relief in the
- 25 complaint, we, you know, framed it so that there

2.1

- 1 are other relief consistent with the decision.
- 2 So, if New York really wanted to say, you know,
- 3 no, we have a particular problem with concealed
- 4 carry, notwithstanding that traditionally that's
- 5 the only way we allow people to carry, if they
- 6 want to shift to an open carry regime, they
- 7 could do that consistent with everything we've
- 8 said here.
- 9 Now I don't think anybody expects that
- 10 to happen because, if you look at the New York
- 11 law specifically, it's a law that prohibits the
- 12 carrying of handguns except for permit holders,
- and then its provisions about permit holders
- 14 speak specifically to concealed carry.
- So that's why we've framed our request
- 16 the way we have. But what we're doing, I think,
- is completely consistent with the majority
- decision in Heller's analysis of the historical
- 19 cases. We've said that those very few states
- 20 that tried to prohibit both concealed carry and
- 21 open carry and so gave no outlet for the right
- 22 to carry a firearm for self-defense outside the
- 23 home, those were the laws that the Heller
- 24 majority identified as being analogous to the
- 25 D.C. restriction in Heller that was invalidated.

1 JUSTICE SOTOMAYOR: I do know that many of the laws conditioned or retained the 2 3 right of the state to decide which people were eligible. And the historians -- to carry the 4 arms, that you had to be subject to the approval 5 of the local sheriff or the local mayor, et 6 7 cetera. And during the Civil War, that was used to -- to deny Black people the right to hold 8 arms. We now have the Fourteenth Amendment to 9 10 protect that. 11 But why is a good cause requirement 12 any different than that discretion that was given to local officials to deny the carrying of 13 14 firearms to people that they thought it was 15 inappropriate, whether it was the mentally ill 16 or any other qualification? I -- that's how I 17 see the good cause as fitting in -- within that 18 tradition. MR. CLEMENT: So -- so let me make a 19 20 point about how it's so different from that 21 tradition, but then also let me make a 2.2 historical point. 23 This -- it's radically different to say that if you are a typical New Yorker, so you 24 25 qualify -- you satisfy every other

- 1 qualification, you're not a felon, you don't
- 2 have any mental health problems, you've done
- 3 everything else we've asked you, but you are
- 4 typical in the sense that you don't have an
- 5 atypical need to carry for self-defense, I don't
- 6 think there's any historical analog to that.
- 7 As to the historical examples, with
- 8 all due respect, I -- I don't think I read the
- 9 surety laws the same way that you do. Those
- 10 surety laws, which were only in -- in -- in
- 11 place in a minority of jurisdictions, but,
- 12 nonetheless, I think they help us because those
- 13 surety laws, first of all, start with the
- 14 proposition that there's a baseline right for
- every person, every member of the people,
- 16 protected by the Second Amendment, to carry.
- 17 And what they do is, if somebody,
- 18 essentially, as a complainant, can come into
- 19 court and say that somebody is -- has a
- 20 propensity to use them in an offensive or
- violent way, then, if you satisfy a neutral
- 22 fact-finder, then you don't automatically get to
- 23 disarm that person. You put them to the choice
- of posting a surety, and then they can continue
- 25 to possess their firearm.

2.4

1 CHIEF JUSTICE ROBERTS: Mr. Clement, 2 you -- in your opening, you talked about the 3 right applying in any location typically open to the general public. 4 I'd like to get some sense about what 5 6 you believe could be off limits, like university 7 campuses. Could they say you're not allowed to 8 carry on a university campus? MR. CLEMENT: So, Mr. Chief Justice, I 9 10 -- I think the answer to your question is yes. 11 And I think that what I would say, though, first 12 of all, is the language I was talking about, any 13 location open to the general public, that's 14 right from the license denial on Joint Appendix 15 page 40 -- 41. So that wasn't loose language on 16 my part. That's -- that's right there from 17 where we are told, in capital letters, where we 18 cannot carry, any location, all caps, typically 19 open to --20 CHIEF JUSTICE ROBERTS: Well, what 21 sort of place do you think they could be 2.2 excluded from? In other words, you can get a 23 permit, but the state can impose certain restrictions, for example, any place in which 24

25

alcohol is served.

1 MR. CLEMENT: So --2 CHIEF JUSTICE ROBERTS: Can they say 3 you cannot carry your gun at any place where alcohol is served? 4 MR. CLEMENT: So, Mr. Chief Justice, I 5 6 think you -- probably the right way to look at 7 those cases would be look at them case by case and say, okay -- this Court in Heller, for 8 9 example, said sensitive places include 10 government buildings and schools. I think 11 those, you can probably tap into a pretty good 12 tradition. 13 I think any place that served alcohol 14 would be a -- a -- a -- you know, a tougher 15 case for the government. I think we would have 16 a stronger case. They might be able to 17 condition the license holder on not consuming any alcohol. There might be a variety of laws. 18 19 And we could have those debates, but --20 CHIEF JUSTICE ROBERTS: What about a football stadium? 21 MR. CLEMENT: I -- I -- I think, 2.2 23 again, football stadium, you probably take it on 24 its own and -- and look to the historical 25 analogs. But here's -- I guess, if I could

- offer some general principles, I think there's
- 2 two principles.
- 3 One is, you know, restriction of
- 4 access to the place is something that I think
- 5 would be consistent with the way government
- 6 buildings have worked and schools have worked.
- 7 Not any member of the general public can come in
- 8 there. They restrict access. With -- with or
- 9 without a gun, if you're an adult that has no
- 10 business to be in a school, you're excluded. So
- I think that's a factor that would support
- 12 treating that as a sensitive place.
- 13 A second principle that I would offer
- 14 is these sensitive place restrictions really are
- 15 a different animal than a carry restriction
- 16 because I think a true sensitive place
- 17 restriction is not just going to limit your
- ability to carry concealed, but it's going to
- be, say, this is a place where no weapons are
- 20 allowed. You know, whether they're firearms or
- other weapons, no weapons are allowed.
- 22 And then the third point that I would
- 23 say -- and this is just an analogy, but I think
- 24 it's a useful analogy -- is I think the way to
- 25 think about this is a little like the nonpublic

- 1 forum doctrine in the First Amendment, which is
- 2 you -- you start with the place and you try to
- 3 understand is this a place where, given the
- 4 nature of the place, its function, its
- 5 restrictions on access, that weapons are out of
- 6 place? And, if so, that's probably a sensitive
- 7 place --
- 8 JUSTICE KAGAN: So -- but --
- 9 MR. CLEMENT: -- where the state can
- 10 say --
- JUSTICE KAGAN: -- but I think --
- 12 JUSTICE BARRETT: But what --
- 13 JUSTICE KAGAN: -- what the Chief
- Justice is trying to do is figure out how those
- 15 cash out in the real world. So I'll give you a
- 16 few more. New York City subways.
- 17 MR. CLEMENT: So, you know, I -- I
- 18 think that the -- the question of whether you
- 19 could restrict arms in the subways, you know, I
- 20 mean, you -- you'd have to go through the
- 21 analysis, I think, and say, you know, is there a
- 22 restriction on access generally? I suppose it's
- 23 --
- JUSTICE KAGAN: No, I mean, I got the
- 25 analysis --

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1
               MR. CLEMENT: Okay.
 2
                JUSTICE KAGAN: -- all three parts of
 3
      it. How does it cash out? What does it mean?
               MR. CLEMENT: You know, I -- I don't
 4
 5
     know how those are going to cash out in
 6
     particular cases because I think the way that
7
     you would normally deal with that is you'd, you
     know, look at all the briefing we had in the
8
9
      this case on the history of these various
10
     things.
11
                And so, you know, on behalf of my
12
      individual clients, I suppose I could give away
13
      the subway because they're not looking to go --
14
     you know, they're not in Manhattan.
15
                JUSTICE KAGAN: The Chief Justice --
16
               MR. CLEMENT: They're in Rensselaer
17
     County.
18
                JUSTICE KAGAN: -- started with
19
     universities, and you said that that would be
     all right. Did you mean that?
20
                MR. CLEMENT: Yeah, I -- I -- I --
21
     yes, I -- I -- I --
22
23
                JUSTICE KAGAN: Because --
24
               MR. CLEMENT: -- I did mean that.
25
                JUSTICE KAGAN: -- because -- because
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- 1 that's open for -- you know, anybody can walk
- 2 around the NYU campus.
- 3 MR. CLEMENT: Well, NYU doesn't have
- 4 much of a campus.
- 5 (Laughter.)
- 6 JUSTICE KAGAN: I -- I would -- I
- 7 would go back to New York, and I think you'll
- 8 find that that's wrong. Similarly, the Columbia
- 9 campus.
- 10 MR. CLEMENT: Columbia's got a campus,
- and I don't know whether they restrict access
- 12 there at all. And -- and, you know -- and
- maybe, you know, if they don't restrict access
- 14 to parts of the campus, maybe those are parts of
- 15 the campus where they wouldn't enforce the
- 16 policy anyways.
- 17 The point I'm trying to make, though
- 18 --
- JUSTICE KAGAN: But you can't say, you
- know, there are 50,000 people in one place, you
- 21 know, a -- a -- a ballpark, there are 50,000
- 22 people in one place, they're all on top of each
- other, we don't want guns there. That's -- you
- 24 -- you couldn't -- the -- the city or the
- 25 state couldn't do that?

1 MR. CLEMENT: I think they might well 2 be able to, because, again, you can't get into 3 Yankee Stadium without a ticket. I'd have to understand in, you know, many of these 4 jurisdictions -- you know, I don't know every 5 6 jurisdiction. I don't know enough about Yankee 7 Stadium. But, you know, a lot of these stadiums 8 are not run by the government anyway. So, if a 9 private entity wants to restrict access, I don't 10 know where the state action is for there to be a second --11 12 JUSTICE KAGAN: Suppose the state says 13 no protest or event that has more than 10,000 14 people. 15 MR. CLEMENT: I -- I -- I think that 16 might be, you know, trickier. Maybe they could 17 justify that under strict scrutiny, but I don't 18 think that would be a sensitive places --19 JUSTICE BARRETT: But why not? 20 MR. CLEMENT: -- restriction. 21 JUSTICE BARRETT: I mean, I guess it's 22 about the level of generality, all these 23 questions that Justice Kagan's asking you or that the Chief asked you, if -- if you concede, 24 25 as I think the historical record requires you

- 1 to, that states did outlaw guns in sensitive
- 2 places, can't we just say Times Square on New
- 3 Year's Eve is a sensitive place? Because now
- 4 we've seen, you know, people are on top of each
- 5 other, we've -- we've had experience with
- 6 violence, so we're making a judgment, it's a
- 7 sensitive place.
- 8 MR. CLEMENT: So here -- here's what I
- 9 would suggest, that the right way to think about
- 10 limiting guns in Times Square on New Year's Eve
- is not as a sensitive place but as a time,
- 12 place, and manner restriction.
- 13 And that might be a perfectly
- reasonable time, place, and manner restriction,
- but I don't think that's -- the sensitive places
- 16 doctrine, as I understood it, from -- and,
- obviously, it's a brief reference in the Heller
- 18 decision, so I -- I may not fully understand it
- 19 -- but I understood that those were certain
- 20 places where they were just no weapon zones all
- 21 of the time because of the nature of that
- 22 institution.
- 23 And I think it's probably worth
- thinking about rallies and Times Square, that
- 25 there may be restrictions, but they would be

1 done --JUSTICE ALITO: Well, Mr. Clement --2 3 MR. CLEMENT: -- under the rubric of 4 JUSTICE ALITO: -- could we --5 6 MR. CLEMENT: -- time, place, and 7 manner. JUSTICE ALITO: -- could we start with 8 9 the purpose of the personal right to keep and 10 bear arms? And the core purpose of that right, 11 putting aside the military aspect, is 12 self-defense. 13 So starting with that, could we 14 analyze the sensitive place question by asking 15 whether this is a place where the state has 16 taken alternative means to safeguard those who 17 frequent that place? 18 If it's a -- if it's a place like a 19 courthouse, for example, a government building, 20 where everybody has to go through a magnetometer 21 and there are security officials there, that 22 would qualify as a sensitive place. Now that doesn't provide a mechanical 23 24 answer to every question, and -- but it -- would 25 that be a way of analyzing -- of -- of beginning

- 1 to analyze this?
- 2 MR. CLEMENT: Justice Alito, that
- 3 might be a way of analyzing it. The reason I'm
- 4 a little bit reluctant to go that route as
- 5 opposed to really think about the nature of the
- 6 place and the restrictions that are associated
- 7 with its core activity is because I worry that,
- 8 if you went that direction, then the state would
- 9 say: Well, you know, this part of the city, we
- 10 have a lot of police officers, and so you really
- don't need to exercise your own individual
- 12 self-defense right there because we -- we have
- 13 your back. And I --
- JUSTICE ALITO: Well, I don't know --
- 15 MR. CLEMENT: -- and I don't think
- 16 that's --
- 17 JUSTICE ALITO: -- I don't know what
- 18 the -- I don't know what those places would be,
- 19 but continue.
- 20 MR. CLEMENT: Well, I think my friends
- 21 would tell you that, you know, the whole City of
- 22 New York is that way.
- 23 And I -- I -- I think there are a lot
- of people in New York, and New York may have a
- lot of reasons to have regulations that are a

1 little bit different than in upstate New York, 2 where my individual Petitioners reside, but I 3 don't think that they can take all those people 4 in New York and deny them of their fundamental 5 constitutional --6 JUSTICE BREYER: So how --7 MR. CLEMENT: -- rights. JUSTICE BREYER: -- how do we do this? 8 9 JUSTICE KAGAN: But you just said --JUSTICE BREYER: How --10 11 CHIEF JUSTICE ROBERTS: Justice 12 Breyer. 13 JUSTICE BREYER: How? I mean, so far, 14 we've been -- and to my mind, I think NYU does 15 have a campus. You're not certain. All right? 16 (Laughter.) 17 JUSTICE BREYER: You think that in New 18 York City people should have considerable 19 freedom to carry concealed weapons. I think 20 that people of good moral character who start 21 drinking a lot and who may be there for a 2.2 football game or -- or some kind of soccer game 23 can get pretty angry at each other, and if they 24 each have a concealed weapon, who knows?

And there are plenty of statistics in

- 1 these briefs to show there's some people who do
- 2 know, and a lot of people end up dead, okay? So
- 3 what are we supposed to do? To sort of float
- 4 around, like with NYU, and say, hey, oh, this is
- 5 the rule, it seems to work out in upstate New
- 6 York, we don't know, of course, and we do know
- 7 that your client is carrying a concealed weapon
- 8 because he has a right to in some instances?
- 9 And even following Heller and
- 10 following the history, which I thought was
- 11 wrong, even so, what are we supposed to say in
- 12 your opinion that is going to be clear enough
- that we will not produce a kind of gun-related
- 14 chaos?
- MR. CLEMENT: So, Justice Breyer, I
- 16 would sort of point you to two things that maybe
- 17 would give you some comfort. I mean, one is the
- 18 experience of the 43 states, and there are
- 19 amicus briefs on both sides getting into the
- 20 empirical evidence, but there really isn't a
- 21 case that those 43 states that include very
- 22 large cities like Phoenix, like Houston, like
- 23 Chicago, they have not had demonstrably worse
- 24 problems with this than the five or six states
- 25 that have the regime that New York has. So

- 1 that's one place to look.
- 2 The other place that I think you would
- find some -- some -- something persuasive there
- 4 is their own amicus brief on their side by the
- 5 City of Chicago, because the City of Chicago is
- 6 in a shall issue jurisdiction, and the City of
- 7 Chicago goes on to sort of, you know,
- 8 essentially brag about all of the ways that
- 9 they've done, consistent with that regime, to
- 10 reduce crime in Chicago that probably doesn't
- 11 have a direct analog in downstate Illinois.
- But, of course, you know, one of the
- 13 problems with this case --
- JUSTICE KAGAN: I mean, most people
- think that Chicago is, like, the -- the world's
- 16 worst city with respect to gun violence, Mr.
- 17 Clement.
- 18 MR. CLEMENT: Chicago in their
- 19 corporate --
- 20 JUSTICE KAGAN: And Chicago doesn't
- 21 think that, but everybody else thinks it about
- 22 Chicago.
- MR. CLEMENT: And nobody thinks that
- 24 about Phoenix, and nobody thinks that about
- 25 Houston, and nobody thinks that about Dallas,

- and nobody thinks that about San Diego, which,
- 2 even though it's in a restricted state, is a
- 3 shall issue jurisdiction.
- 4 JUSTICE SOTOMAYOR: Mr. Clement?
- 5 CHIEF JUSTICE ROBERTS: Thank you, Mr.
- 6 Clement.
- Justice Thomas, anything further?
- 8 JUSTICE THOMAS: Mr. Clement, where
- 9 does Mr. Nash live?
- 10 MR. CLEMENT: Mr. Nash lives in
- 11 Rensselaer County, New York, which --
- 12 JUSTICE THOMAS: Is that close to NYU?
- 13 MR. CLEMENT: That is nowhere near
- 14 NYU, Justice Thomas. And, you know, I think, if
- 15 you -- if you look at their -- the county
- website, they talk about there are 153,000
- 17 people spread over 955 square miles. And yet
- 18 that's the context in which my individual
- 19 clients are being denied their Second Amendment
- 20 rights.
- 21 CHIEF JUSTICE ROBERTS: Justice
- 22 Breyer, anything further?
- 23 Justice Alito?
- Justice Sotomayor?
- JUSTICE SOTOMAYOR: Counselor, your

- 1 client is permitted to -- Mr. Nash, one of the
- 2 two -- to carry when engaged in outdoor
- 3 activities of any kind, like camping, hunting,
- 4 and fishing, on back roads, with the few --
- 5 substantially lesser number of people.
- 6 Tell me how many places in Rensselaer
- 7 County does your client have a self-defense
- 8 risk.
- 9 MR. CLEMENT: Well --
- 10 JUSTICE SOTOMAYOR: A serious -- I
- 11 mean, at what point do we look at the
- 12 restriction and the burden it places? Meaning,
- 13 yes, I'm sure it has a center of town, I'm sure
- it may have a shopping center or two, but it's
- not like he's totally restricted from carrying a
- 16 qun. He's just restricted from carrying one
- 17 basically in those sensitive places --
- 18 MR. CLEMENT: Well --
- 19 JUSTICE SOTOMAYOR: -- because the
- 20 rest of his home is pretty distant from each --
- 21 from other homes.
- MR. CLEMENT: So, Justice Sotomayor,
- just so we start on the same wavelength or the
- same page, literally, page 41 of the Joint
- 25 Appendix, this tells Mr. Nash where he can carry

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1
      concealed. And what the officer, McNally, told
 2
     him was: "I emphasize that the restrictions are
      intended to prohibit" -- italicized -- "you from
 3
      carrying concealed in ANY LOCATION" -- all
 4
      caps -- "ANY LOCATION typically open to and
 5
 6
      frequented by the general public."
 7
                Now I would submit --
 8
                JUSTICE SOTOMAYOR: That's the point.
 9
                MR. CLEMENT: -- that's -- that's a
     pretty broad number of places in Rensselaer
10
11
     County. And it would include, I fear, most of
12
      the roads in the county at night when you're
13
      traveling and might think that you have a need.
14
                I mean, if -- if Mr. Nash has a
15
      relative whose car breaks down and has to have a
      -- a change of tire and he wants to go out and
16
17
      assist them with that and wants to make sure
18
      that he is -- he -- he is in a position to
19
      defend himself, I don't think he can do it
      consistent with this license restriction.
20
21
                And at the end of the day, I think
2.2
     what it means to give somebody a constitutional
23
     right is that they don't have to satisfy a
24
      government official that they have a really good
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need to exercise it or they face atypical risks.

1 CHIEF JUSTICE ROBERTS: Justice Kagan, 2 anything further? 3 JUSTICE KAGAN: Mr. Clement, you -you said, I think, in passing that it would be 4 fine if New York banned open carry so long as it 5 6 allowed concealed carry. Is that correct? 7 MR. CLEMENT: Certainly, that's consistent with the relief we're looking for. 8 We're looking for some outlet to exercise our 9 constitutional right to carry firearms outside 10 11 the home. 12 JUSTICE KAGAN: How is it consistent with the history? I mean, the history seems 13 14 very clear to me that it's sort of like the 15 exact opposite of how we think about it now, in 16 other words, that there are lots of places that 17 wanted people to display their arms as a matter 18 of transparency, and what they prohibited was 19 the concealed carry. So I'm thinking, like, if you look to 20 21 the history, you end up with a completely 2.2 different set of rules from the ones that you're 23 suggesting with respect to concealed versus 24 open. And it's a -- it's an example, I think, 25 of -- of the difficulties of looking to history,

- 1 where people were operating on such different,
- 2 to use your term, wavelengths.
- 3 MR. CLEMENT: So, Justice Kagan, first
- 4 of all, I would have thought that, you know, we
- 5 sort of crossed the bridge to use history in
- 6 this context in Heller.
- 7 But, if we're going to look to history
- 8 --
- 9 JUSTICE KAGAN: No, I think --
- 10 MR. CLEMENT: -- I actually think, if
- 11 --
- 12 JUSTICE KAGAN: -- Mr. Clement, the
- question is how to use history and, you know,
- 14 where do you look, you know, how far do you
- 15 look. Do you look to the 1920s when all these
- 16 felon laws were passed, as well as public
- 17 purpose laws of exactly the same kind as New
- 18 York.
- 19 So one question is, how far up do you
- 20 look? Another question is, you know, with what
- 21 sense of flexibility do you look? And I think
- 22 that this is an example of that. It's like, no,
- we're not going to ask for an exact analog
- 24 because we realize that the world has changed
- 25 and regulatory schemes are very different

- 1 because regulatory interests are very different.
- If we tried to copy history, we would
- 3 find ourselves in a world in which the only
- 4 thing that a state could do is tell people, you
- 5 know, you can't carry it concealed, you have to
- 6 carry it open.
- 7 MR. CLEMENT: So, Justice Kagan, let
- 8 me give you an example of how I think the Court
- 9 should use history in this context, and I'll go
- 10 exactly to the Georgia statute that was at issue
- 11 in Nunn against Georgia. Now that was a statute
- that, on its face, prohibited carrying
- 13 simpliciter. So it didn't say open. It didn't
- 14 say concealed.
- Now the court that analyzed that
- 16 reversed -- vacated the indictment of somebody
- 17 under the statute because the statute didn't
- 18 specify and they didn't think that person had
- 19 carried concealed, but when they looked at it,
- 20 they interpreted it in light of the context at
- 21 the time and they thought, boy, it is not
- 22 consistent with the Second Amendment that
- 23 Georgia actually -- that court actually thought
- 24 directly applied to the state, which is
- interesting, but -- but they said that's not

- 1 consistent with the Second Amendment to prohibit
- 2 any means for carrying.
- 3 Then, consistent with kind of the
- 4 norms of the time, kind of almost as like a
- 5 severability holding, dare I say it, they said,
- 6 well, all right, the open carry, that's allowed.
- 7 I mean, rather, that's -- that's -- we're going
- 8 to say that to the extent this statute prohibits
- 9 open carry, that's unconstitutional, but to the
- 10 extent that it prohibits concealed carry, that's
- 11 constitutional.
- Now the -- the fundamental
- 13 problem with the law that carries over as a
- 14 direct analogy is it gave no outlet to exercise
- 15 the constitutional right to carry for
- 16 self-defense. The norms of the time had a
- favoring for open carry over concealed. I will
- 18 grant you that the norms of the time have
- 19 flipped, and, certainly, in New York, based on
- 20 the rest of their licensing regime, I assume
- 21 that they would prefer that my client -- clients
- 22 carry concealed rather than openly.
- But I think that's the way you can use
- the history, and you can use it with some
- contextual sensitivity, but you cannot sort of,

- 1 you know, throw it all out, because I do think
- 2 the analogy is pretty clean between a law that
- 3 prohibits any form of carry and what New York is
- 4 doing here.
- 5 And, of course, that was one of the
- 6 laws that this Court specifically looked to in
- 7 the Heller decision as well.
- 8 JUSTICE KAGAN: And -- and when you
- 9 look at this history in the properly contextual
- 10 way, do you see no difference between the kind
- of regulation that was allowed in the home and
- 12 the kind of regulation that was allowed in
- public places? Because it seems to me that the
- 14 history -- and -- and Justice Sotomayor
- developed it at some length -- but the history
- is replete with that distinction, that the --
- 17 and, indeed, Heller recognizes that.
- 18 Heller recognizes that the home is a
- 19 very special place, both because -- you know,
- 20 for similar reasons for the Fourth Amendment but
- 21 also because the need for self-defense is so
- 22 much greater there.
- MR. CLEMENT: So I -- I -- I think, in
- 24 terms of -- I'm not going to tell you that the
- 25 context doesn't matter at all. I mean, take the

- 1 sensitive places law, right? They just -- they
- 2 don't really affect the keep right the way that
- 3 they affect the carry right, unless you try to
- 4 say the entirety of Manhattan is a sensitive
- 5 place, and then they might affect both. But, in
- 6 general, the -- the analysis is going to be
- 7 slightly different.
- 8 But I would say that, you know, I
- 9 don't think those differences are material here.
- 10 I think, if the District, instead of just
- 11 banning handguns inside the home, had adopted a
- 12 permitting regime that required District
- residents to show that they had an atypical need
- 14 to possess a handgun inside the home, I'm not
- sure anything in Heller would have been
- 16 different because it's just inconsistent with a
- 17 constitutional right to either ban the exercise
- of it or say that it's a privilege that you can
- only exercise if you show that you are atypical
- 20 from the rest of the people who are equally
- 21 protected by the constitutional right.
- JUSTICE KAGAN: Thank you.
- 23 CHIEF JUSTICE ROBERTS: Justice
- 24 Gorsuch?
- 25 JUSTICE GORSUCH: Mr. Clement, are you

- -- are you able to hear me? 1 2 MR. CLEMENT: Loud and clear. JUSTICE GORSUCH: Great. Some of your 3 amici have asked us to provide further guidance 4 to lower courts in cases beyond your own. And 5 6 so, putting aside your -- your case for the 7 moment, they've pointed out that some lower courts have refused to apply the history test, 8 9 for example, and said they will not extend Heller outside the home until this Court does. 10 11 Other courts have applied intermediate 12 scrutiny and variations of that. Some have suggested that strict scrutiny would be 13
- I -- I -- I'd just be curious

appropriate to treat this right comparably to

other rights under our modern tiers of scrutiny.

- 17 what -- what -- what views you have about all
- 18 that.

14

- 19 MR. CLEMENT: Thank you, Justice
- 20 Gorsuch. I -- I think we would start with the
- 21 idea that text, history, and tradition is an
- 22 appropriate way to deal with this right. That's
- 23 what the Court said in Heller.
- 24 I think this Court would allow the
- 25 Court to make clear that the same analysis

- 1 applies outside of the home. And I think this
- 2 case, like Heller, is such an outlier that the
- 3 Court wouldn't have to say too much more unless
- 4 it wanted to.
- I think, if it wanted to, though, it
- 6 would already, I think, go a long way to
- 7 correcting some of the mistakes in the lower
- 8 court to say that text, history, and tradition
- 9 is the test, not part of the test but the test
- 10 inside and outside the home.
- 11 And if this Court prefers to go the
- level of scrutiny route, I would simply say two
- 13 things. One, we would prefer strict scrutiny as
- being consistent with a fundamental
- 15 constitutional right. But, even if it's going
- 16 to be intermediate scrutiny, probably the
- 17 single-most important thing to remind the lower
- 18 courts is that intermediate scrutiny requires
- 19 narrow tailoring.
- 20 And a law like this that takes a
- 21 person who has no proclivity whatsoever, unlike
- 22 the surety laws, to misuse firearms and says you
- 23 simply can't carry them for self-defense
- anywhere frequented by the public because you
- 25 haven't demonstrated an atypical need, I mean,

- 1 that's about as untailored a law as I can
- 2 imagine.
- 3 So I think, if you did one of those
- 4 two things -- either make clear that it's text,
- 5 history, and tradition outside the home as well
- 6 as inside or made clear that narrow tailoring is
- 7 an integral component of the test -- that would
- 8 go a long way to clearing up some of the
- 9 confusion in the lower courts.
- JUSTICE GORSUCH: And I know you --
- 11 you've had a substantial debate with your
- 12 friends on the other side about the Statute of
- Northampton. We haven't heard about that today,
- 14 and I just wanted to give you a chance.
- MR. CLEMENT: Thank you, Justice
- 16 Gorsuch. I'd say just a couple of quick things
- about the Statute of Northampton.
- 18 First of all, I think that it was very
- 19 clear from the Knight's Case and the treatises
- 20 that this Court relied on in Heller that by the
- 21 time of the framing of the English Bill of
- 22 Rights, that was not a general prohibition on
- carrying outside the home but was a prohibition
- on either carrying unusual and dangerous weapons
- 25 or using common weapons in a way that terrorized

- 1 the public. And so I don't think that that
- 2 supports the other side's position here.
- 3 And the second thing I would say is
- 4 that probably the single-most obvious point
- 5 about the history is there just are no reported
- 6 cases on this side of the Atlantic, not in
- 7 actual reporters, not in newspaper reports about
- 8 crimes of the day, that show anybody being
- 9 prosecuted for a violation of the Northampton
- 10 crime simply by carrying common firearms for
- 11 self-defense.
- 12 And the one U.S. early court that
- dealt with this, the common law equivalent of
- 14 the statute, was State against Huntly in North
- 15 Carolina, which was an opinion that was cited
- 16 favorably in the majority opinion in Heller, and
- 17 that case went out of its way to say that simply
- carrying firearms per se is not an offense; it's
- 19 the intent to terrorize the people that is
- 20 prohibited by Northampton.
- JUSTICE GORSUCH: Thank you.
- 22 CHIEF JUSTICE ROBERTS: Justice
- 23 Kavanaugh.
- JUSTICE KAVANAUGH: Mr. Clement, I
- 25 have several questions.

1	First, I want to make sure I
2	understand your main problem here with this
3	permitting regime, as I understand it, is the
4	discretion that's involved with the permitting
5	officials, and your point that that's just not
6	how we do constitutional rights, where we allow
7	basic blanket discretion to grant or deny
8	something for all sorts of reasons.
9	But I understand you would not object
LO	or do not object to the regimes that are used in
L1	many of the other 42 states, the shall issue
L2	regimes. I mean, there could be particular
L3	problems with those, but I do not understand you
L4	to object to shall issue regimes.
L5	Is that accurate?
L6	MR. CLEMENT: That's accurate, Justice
L7	Kavanaugh. And as you say, they're the you
L8	know, especially if you have something like good
L9	moral character, there is the possibility for
20	discretionary abuse in those regimes as well.
21	But the thrust of this case is, you
22	know, we we'd like what they're having. We'd
23	like what the people in the other 43 states are
24	allowed to do and exercise their rights, and in
2.5	many of those states, it's shall issue.

1 And -- and that is, of course -- you 2 know, New York purports to have effectively a 3 shall issue regime with respect to hunting. The only other caveat I wanted to add is it's the 4 discretion combined with the atypicality 5 6 requirement. 7 So, if they came up with some, you know, sort of, like, magic wand that gave them a 8 9 precise reading of typicality, and so there was 10 no discretion, but the standard was still at the 11 end of the day you have to show that you are 12 atypical from the rest of the people protected by the Second Amendment, we would have a problem 13 with that as well. 14 15 JUSTICE KAVANAUGH: Right. A shall issue regime with an atypicality requirement 16 17 would be no good in your view? 18 MR. CLEMENT: Exactly. 19 JUSTICE KAVANAUGH: Yeah. MR. CLEMENT: Even if it could be 20 21 somehow if you could come up with some objective 22 standard of typicality. 23 JUSTICE KAVANAUGH: Okay. And the issue before us, as I understand it, is the 24 25 permitting regime. We don't have to answer all

- 1 the sensitive places questions in this case,
- 2 some of which will be challenging no doubt, is
- 3 that accurate?
- 4 MR. CLEMENT: That's 100 percent
- 5 accurate. And it's -- so there's sort of a
- 6 market test of the accuracy of that, which is
- 7 New York does have sensitive place laws, and we
- 8 have not challenged them in this litigation.
- JUSTICE KAVANAUGH: And then, to
- 10 follow up on Justice Thomas's question and also
- 11 Justice Gorsuch's, we should focus on American
- 12 law and the text of the Constitution and we
- don't start the analysis in a vacuum, but we
- 14 start it with the text, which you say grants a
- right to carry, and then historical practice can
- 16 justify certain kinds of regulations, but the
- baseline is always the right established in the
- 18 text. And there will be tough questions, as the
- 19 questions -- arguments revealed, about what the
- 20 historical practice shows, but the default or
- 21 baseline is the text, correct?
- 22 MR. CLEMENT: That -- that -- that's
- 23 absolutely right, Justice Kavanaugh. And, of
- 24 course, that's no different from something like
- 25 the First Amendment, where, of course, you start

- 1 with the text, and it's very emphatic text, you
- 2 know, no law abridging speech, but then you look
- 3 to history and tradition just to realize, oh,
- 4 well, there's a long tradition of treating
- 5 defamation and libel different going back to the
- framing, so you use that history to inform the
- 7 text, but the focus is on the text.
- JUSTICE KAVANAUGH: And last question,
- 9 following up on Justice Gorsuch's question, is
- 10 he points out some courts have used intermediate
- 11 scrutiny or strict scrutiny. You know, those
- 12 are balancing tests. I think Professor Alicea's
- amicus brief is very helpful on that. There's
- 14 well-developed law in other areas.
- But it'll be no surprise to you I have
- 16 concern that that would just be a balancing test
- 17 that would leave -- make it a policy judgment
- 18 basically for the courts.
- 19 And I don't know why we would -- you
- say you'd be okay with that, but I'm not sure
- 21 why we would smuggle all that into here and then
- 22 it would just be a policy judgment that would be
- 23 unanchored from the historical practice.
- MR. CLEMENT: So, Justice Kavanaugh,
- 25 two points just in response to that.

1 One, you know, as -- as you articulate 2 the concerns with interesting balancing, that 3 might be a reason that if you're going to go with the level of scrutiny's approach, you would 4 go to strict scrutiny, where I just think 5 6 there's less play in the joints. 7 But the second --JUSTICE KAVANAUGH: Well, I mean, 8 9 maybe. But what's a compelling interest? Do 10 you have a compelling -- there's a lot of play 11 in the joints in -- in some of the other areas, 12 so I don't know that you want to open that door. MR. CLEMENT: And -- and -- and -- and 13 14 the second point I was going to make, though, 15 Justice Kavanaugh, which is maybe more consonant 16 with the thrust of the question is, you know, 17 whatever was the case in Heller, where I -- I sort of read the majority opinion as actually 18 19 already rejecting interesting balancing, but 20 whatever was the case in Heller, you know, we 21 now have this 13 years of experience with lower 2.2 courts applying the test. And in -- in our view, you know, 23 24 they've made a muddle of it and the -- you know, it's -- it's probably -- the experience of the 25

- 1 last 13 years is probably a very good reason to
- 2 prefer a text, history, and tradition approach
- 3 to this area of the law.
- 4 JUSTICE KAVANAUGH: Thank you.
- 5 CHIEF JUSTICE ROBERTS: Justice
- 6 Barrett?
- 7 JUSTICE BARRETT: Mr. Clement, I have
- 8 one question.
- 9 So a couple times, in response to my
- 10 question about Times Square and New Year's Eve
- and then just now as well to Justice Kavanaugh,
- 12 you made reference to the First Amendment. And,
- obviously, a lot of the questions that have been
- 14 asked have been focused on how do we -- how can
- the state fairly regulate, because everybody
- 16 agrees there have to be some regulations, and it
- 17 might not be the case that we can always find
- 18 exact historical analogs, so we're turning to
- 19 the First Amendment.
- In response to me, you said, well,
- 21 that might be analogous to a time, place, and
- 22 manner restriction. So do you think the First
- 23 Amendment and the, you know, edifices that we
- 24 have structured around it would be a helpful
- 25 place to look? Is that what you're suggesting?

MR. CLEMENT: Well, I'm suggesting 1 2 that there is a lot of useful teaching in the 3 First Amendment. I'm not sure I'm suggesting you should just take sort of doctrines lock, 4 stock, and barrel from the First Amendment. 5 6 But, you know, I mean, going back, you 7 know, well over a hundred years to, like, Robertson, when the Court was just talking in 8 9 dictum about the First and the Second Amendment, 10 it drew the analogy between allowing some 11 restrictions on the Second Amendment and, in the 12 First Amendment context, the First Amendment being consistent with libel and defamation. 13 14 As I suggested to the Chief Justice, I 15 think the way you think about a nonpublic forum 16 and why that's different from First Amendment 17 purposes from a park, I think, could be useful in some of these contexts. 18 19 You know, if you focus on the nature 20 of the location, you might say this is 21 inappropriate for weapons. But, in the same way as in the First Amendment, you just don't get to 2.2 23 say, well, we're going to make it a nonpublic 24 forum by saying no First Amendment activity 25 there. You can't just take a location and say

- 1 we're going to make this a sensitive place by
- 2 saying no Second Amendment activity there.
- 3 So those kind of analogies, and,
- 4 lastly, the analogy being you look at a law that
- 5 says no concealed carry in a particular place on
- 6 one night of the year quite differently from a
- 7 law like this that says there's really no way
- 8 for a typical New Yorker to conceal carry
- 9 anywhere that the general public is allowed to
- 10 go.
- 11 Those -- under the First Amendment,
- those are radically different laws, and I think,
- under the Second Amendment, those are radically
- 14 different laws.
- JUSTICE BARRETT: Thank you.
- 16 CHIEF JUSTICE ROBERTS: Thank you,
- 17 counsel.
- 18 General Underwood.
- 19 ORAL ARGUMENT OF BARBARA D. UNDERWOOD
- 20 ON BEHALF OF THE RESPONDENTS
- MS. UNDERWOOD: Mr. Chief Justice, and
- 22 may it please the Court:
- For centuries, English and American
- 24 law have imposed limits on carrying firearms in
- 25 public in the interest of public safety. The

- 1 history runs from the 14th Century statute of
- 2 Northampton, which prohibited carrying arms in
- 3 fairs and markets and other public gathering
- 4 places, to similar laws adopted by half of the
- 5 American colonies and states in the founding
- 6 period, to later state laws that relaxed
- 7 restrictions for people who had a concrete need
- 8 for armed self-defense.
- 9 Starting as early as the early 1800s,
- 10 states began taking different approaches to
- 11 regulating firearm-carrying in public. Some
- 12 states provided that a person who carried
- 13 firearms in public without reasonable cause
- 14 could be arrested and required to post a bond.
- 15 Other states made it a misdemeanor to carry a
- 16 handgun without reasonable grounds to fear an
- 17 attack.
- 18 Other states and territories began --
- 19 banned carrying handguns in towns and cities
- 20 altogether or restricted it to situations of
- 21 immediate threat. And in the early 1900s, many
- 22 states made good cause a requirement for a
- license to carry a concealed handgun while also
- 24 prohibiting in some cases the open carrying of
- 25 handguns.

1 In total, from the founding era 2 through the 20th Century, at least 20 states 3 have at one time or another either prohibited all carrying of handguns in populous areas or 4 limited it to those with good cause. 5 New York's law fits well within that 6 7 tradition of regulating public carry. It makes a carry license available to any person not 8 9 disqualified who has a non-speculative reason to carry a handgun for self-defense. 10 11 New York is not an outlier in the 12 extent to which the state restricts the ability to carry firearms in public, and it's not an 13 14 outlier in asking a licensed applicant to show 15 good cause for a carry license. 16 Many ordinary people have received 17 carry licenses in New York State. If the Court 18 has questions about how the law works in 19 practice, it should remand for fact-finding, and if the Court finds the history ambiguous, it 20 21 should review the law under intermediate 2.2 scrutiny and uphold it. 23 JUSTICE THOMAS: General Underwood, 24 you seem to rely a bit on the density of the 25 population. You say, I think, that states like

- 1 New York have high-density areas.
- 2 And implicit in that is that the more
- 3 rural an area is, the more unnecessary a strict
- 4 rule is. So, when you are -- when you suggest
- 5 that, how rural does the area have to be before
- 6 your restrictions shouldn't apply?
- 7 MS. UNDERWOOD: Well, I -- I think the
- 8 way the New York statute works is consistent
- 9 with a reasonable rule, which is that there's
- 10 not a cutoff, there's not a number at which
- 11 things change, but that licenses -- unrestricted
- 12 licenses are much more readily available in more
- 13 -- in less densely populated upstate counties
- 14 than they are in dense metropolitan areas.
- 15 And that is a virtue of the system of
- 16 having licenses handled by licensing officers
- 17 who are part of the local community and who take
- the density of population into account, as well
- 19 as the -- many other factors.
- 20 JUSTICE THOMAS: Well, the -- Mr. Nash
- 21 lives in a -- quite a low-density area. That's
- 22 why I'm interested in where your cutoff is.
- 23 It's one thing to talk about Manhattan or NYU's
- 24 campus. It's another to talk about rural
- 25 upstate New York.

1 MS. UNDERWOOD: He actually lives in 2 what I would call an intermediate area. He 3 lives in Rensselaer County, which is not that far from Albany, and it contains the City of 4 Troy and a university and a downtown shopping 5 6 district, but it also contains substantial rural 7 areas. And that is precisely what the 8 9 licensing officer here was taking into account when he made the differentiation between, you 10 11 know, don't take it to the shopping mall, don't 12 take it downtown, but you can take it in the --13 in the sort of back-country areas. 14 JUSTICE THOMAS: Thank you. 15 CHIEF JUSTICE ROBERTS: General, you -- you mentioned that the -- the gun is -- I --16 17 I guess permits are read -- more readily available in a less populated area. 18 19 MS. UNDERWOOD: Unrestricted permits 20 CHIEF JUSTICE ROBERTS: Unrestricted 21 22 permits. 23 MS. UNDERWOOD: -- are -- are more 24 readily available in less populated areas, yes.

CHIEF JUSTICE ROBERTS: Now Heller

- 1 relied on the right to defense as a basis for
- 2 its reading of the -- of the Second Amendment,
- 3 or that was its reading.
- 4 Now I would think that arises in more
- 5 populated areas. If you're out in the woods,
- 6 presumably, it's pretty unlikely that you're
- 7 going to run into someone who's going to rob you
- 8 on the street. On the other hand, there are
- 9 places in a -- in a densely populated city where
- it's more likely that that's where you're going
- 11 to need a gun for self-defense and, you know,
- 12 however many policemen are assigned, that, you
- 13 know, there are high-crime areas.
- And it seems to me that what you're
- saying is that's probably the last place that
- someone's going to get a permit to carry a gun.
- 17 How is that -- regardless of what we
- 18 think of the policy of that, how is that
- 19 consistent with Heller's reasoning that the
- 20 reason the Second Amendment applies a -- a
- 21 direct personal right is for self-defense?
- MS. UNDERWOOD: Well, I'll say a
- 23 couple of things about that.
- One, we -- if you go right to history
- and tradition, the history was to regulate most

- 1 strenuously in densely populated places. That's
- what fairs and markets are. So we have history.
- But we also have a rationale for that
- 4 history, which is that where there is dense
- 5 population, there is also the deterrent of lots
- 6 of people and there is the availability of law
- 7 enforcement. In -- in England, the idea was
- 8 that it was the King's Peace and it was, in
- 9 fact, an insult to the king for people to take
- 10 things into their own hands and --
- 11 CHIEF JUSTICE ROBERTS: Well, but
- that's not always true. It depends, obviously,
- in the jurisdiction and all that, but simply
- 14 because a place is -- well, it's paradoxical
- that you say a place is a high-crime area, but
- don't worry about it because there are a lot of
- 17 police around.
- MS. UNDERWOOD: Well, and the other
- 19 thing is that this is -- that these regulations
- are all an effort to accommodate the right, to
- 21 -- to recognize and -- and respect the right of
- 22 self-defense while regulating it to protect the
- 23 public safety. And in areas where people are
- 24 packed densely together, as the questioning that
- just happened displays, the risks of harm from

- 1 people who are packed shoulder to shoulder, all
- 2 having guns, are much more acute than they are
- 3 at --
- 4 CHIEF JUSTICE ROBERTS: Oh, sure, and
- 5 I can understand, for example, a regulation that
- 6 says you can't carry a gun into, you know,
- 7 Giants Stadium, just because a lot of things are
- 8 going on there and it may not be safe to have --
- 9 for people to have guns.
- 10 On the other hand, if the purpose of
- 11 the Second Amendment is to allow people to
- 12 protect themselves, that's implicated when
- 13 you're in a high-crime area. It's not
- implicated when you're out in the woods.
- MS. UNDERWOOD: Well, I -- I think it
- is implicated when you're out in the woods.
- 17 It's just a different set of problems. I mean,
- 18 you're --
- 19 CHIEF JUSTICE ROBERTS: Yeah, deer.
- MS. UNDERWOOD: -- you're deserted
- 21 there and you can't -- and law enforcement is
- 22 not available to come to your aid if something
- 23 does happen. But --
- 24 CHIEF JUSTICE ROBERTS: Well, how many
- 25 muggings take place in the forest?

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1
                (Laughter.)
 2
                MS. UNDERWOOD: If we -- if we --
 3
                CHIEF JUSTICE ROBERTS: How many do
      you think?
 4
                MS. UNDERWOOD: I don't know, but I
 5
 6
      will tell you that our licensing officer told us
 7
      that rapes and -- and robberies happen on the
 8
      deserted bike paths and that he has some concern
      about that.
 9
10
                So, I mean, I take your point that
11
      there is a different risk in the city, but there
12
      is also a different public safety consideration,
      and that is why the licensing officer is meant
13
14
      to take into account not just the risk but also
15
      the -- the population and the availability of
16
      law enforcement and all these considerations.
17
                I -- I won't say that the risk -- I
18
      think it's not correct to characterize the risk
19
      as atypical. The risk has to be specific to the
20
      person, that what -- what the cases say is that
      you can't just say I'm afraid because -- based
21
2.2
      on facts that are not specific to you.
23
                But what Mr. Nash did was convince the
      licensing officer that his trip to a deserted
24
25
      parking lot every night was sufficient to --
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1	CHIEF JUSTICE ROBERTS: What if it's
2	what if it's one of these, you know, crime
3	waves, whether it's, you know, a celebrated
4	spate of murders carried out by a particular
5	person I don't know who that is you know,
6	the Son of Sam or somebody else? Is that a good
7	reason to is that is that a atypical
8	reason? Is that a justification? Some random
9	person is going around shooting people. I'd
LO	like to have a firearm even though I didn't feel
L1	the need for one before?
L2	MS. UNDERWOOD: Well, I think that it
L3	would have to be brought home to you in
L4	particular, to your route, to your parking lot,
L5	to your you know, your apartment building,
L6	but something specific to you rather than it's
L7	happening in the world at large. So
L8	JUSTICE KAVANAUGH: I don't
L9	MS. UNDERWOOD: that's that's
20	what meant by something non-speculative.
21	JUSTICE ALITO: Could I could I
22	could I explore what that means for ordinary
23	law-abiding citizens who feel they need to carry
24	a firearm for self-defense?
25	So I want you to think about people

- 1 like this, people who work late at night in
- 2 Manhattan, it might be somebody who cleans
- offices, it might be a doorman at an apartment,
- 4 it might be a nurse or an orderly, it might be
- 5 somebody who washes dishes.
- 6 None of these people has a criminal
- 7 record. They're all law-abiding citizens. They
- 8 get off work around midnight, maybe even after
- 9 midnight. They have to commute home by subway,
- 10 maybe by bus. When they arrive at the subway
- 11 station or the bus stop, they have to walk some
- 12 distance through a high-crime area, and they
- apply for a license, and they say: Look, nobody
- 14 has told -- has said I am going to mug you next
- 15 Thursday. However, there have been a lot of
- 16 muggings in this area, and I am scared to death.
- 17 They do not get licenses, is that
- 18 right?
- MS. UNDERWOOD: That is in general
- 20 right, yes. If there's nothing particular to
- 21 them, that's right.
- JUSTICE ALITO: How is that consistent
- with the core right to self-defense, which is
- 24 protected by the Second Amendment?
- 25 MS. UNDERWOOD: Because the core right

- 1 to self-defense doesn't -- as -- as this Court
- 2 said, doesn't allow for all to -- to be armed
- 3 for all possible confrontations in all places.
- 4 JUSTICE ALITO: No, it doesn't, but
- 5 does it mean that there is the right to
- 6 self-defense for celebrities and state judges
- 7 and retired police officers but pretty much not
- 8 for the kind of ordinary people who have a real,
- 9 felt need to carry a gun to protect themselves?
- MS. UNDERWOOD: Well, if that ordinary
- 11 person -- Mr. Nash had a -- a concern about his
- 12 parking lot, and he got a permit. I think the
- 13 extra problem in Manhattan is that you -- your
- 14 hypothetical quite appropriately entailed the
- subways, entailed public transit, and there are
- lots of people on the subways even at midnight,
- 17 as I can say from personal experience, and the
- 18 particular specter of a lot of armed people in
- 19 an enclosed space --
- 20 JUSTICE ALITO: There are -- there are
- 21 a lot of armed people on the streets of New York
- 22 and in the subways late at night right now,
- 23 aren't there?
- 24 MS. UNDERWOOD: I don't know that
- 25 there are a lot of armed people.

```
1
               JUSTICE ALITO: No?
 2
               MS. UNDERWOOD: I think there are
 3
     people --
 4
               JUSTICE ALITO: How many -- how many
 5
 6
               MS. UNDERWOOD: -- there are people
7
     with illegal guns if that's what you're --
8
               JUSTICE ALITO: Yeah, that's what I'm
9
     talking about.
10
               MS. UNDERWOOD: -- referring to.
11
      Yeah.
12
               JUSTICE ALITO: How many illegal guns
     were seized by the -- by the New York Police
13
14
     Department last year? Do you -- do you have any
15
      idea?
16
               MS. UNDERWOOD: I don't have that
17
     number, but I'm sure there's a -- it's a
18
      substantial number.
19
               JUSTICE ALITO: But the people -- all
20
      -- all these people with illegal guns, they're
21
      on the subway --
               MS. UNDERWOOD: I don't -- I don't --
2.2
23
               JUSTICE ALITO: -- they're walking
     around the streets, but the ordinary
24
25
     hard-working, law-abiding people I mentioned,
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- 1 no, they can't be armed?
- MS. UNDERWOOD: Well, I think the
- 3 subways, when there are problems on the subways,
- 4 are protected by the -- the -- the transit
- 5 police, is what happens, because the idea of
- 6 proliferating arms on the subway is precisely, I
- 7 think, what terrifies a great many people.
- 8 The other point is that proliferating
- 9 guns in a populated area where there is law
- 10 enforcement jeopardizes law enforcement because,
- 11 when they come, they now can't tell who's
- 12 shooting, and the -- the -- the shooting
- proliferates and accelerates. And, in the end,
- that's why there's a substantial law enforcement
- interest in not having widespread carrying of
- 16 guns in densely --
- 17 JUSTICE KAVANAUGH: On the standard of
- 18 particular to them, just to follow up on the
- other questions, why isn't it good enough to say
- 20 I live in a violent area and I want to be able
- 21 to defend myself?
- MS. UNDERWOOD: Well, what happens in
- 23 these license hearings is that a question is
- 24 asked: What -- what exactly do you mean?
- 25 Because it -- it's --

1	JUSTICE KAVANAUGH: Well, the
2	statistics.
3	MS. UNDERWOOD: Well, it depends on
4	how large an area you describe. You could say,
5	I live in a violent area, and that could be all
6	of New York City, and or it could be your
7	particular neighborhood, and the closer it gets
8	to your particular neighborhood, the better your
9	the better your claim is, or your block.
10	Now I know that that one of the
11	Petitioners made an assertion about robberies on
12	his block. I also know that there was a hearing
13	about that. And he evidently did not convince
14	the licensing officer that they were
15	sufficiently recent or relevant or couldn't be
16	dealt with adequately by his own premises
17	license, which he would be entitled to have
18	without any any justification or proper cause
19	at all.
20	So what I know happens is that those
21	claims are examined by a licensing officer.
22	JUSTICE KAVANAUGH: How
23	MS. UNDERWOOD: Now this gets to your
24	to questions about discretion and whether
25	that's effectively handled. But

1 JUSTICE KAVANAUGH: Well, that's the 2 real concern, isn't it, with any constitutional right? If it's the discretion of an individual 3 officer, that seems inconsistent with an 4 objective constitutional right. 5 6 I mean, what if you're a runner and 7 you say I run a lot, and, as you correctly pointed out earlier, there are a lot of serious 8 9 violent crimes on running paths. It's a real 10 problem. Is that good enough? MS. UNDERWOOD: Well, probably. I 11 12 mean, that's -- that's the --13 JUSTICE KAVANAUGH: And I walk --14 MS. UNDERWOOD: -- counterpart to 15 Nash's -- Nash's claim, but --16 JUSTICE KAVANAUGH: Probably, though 17 18 MS. UNDERWOOD: -- if that's the 19 question --20 JUSTICE KAVANAUGH: Yeah. 21 MS. UNDERWOOD: -- that -- that is not the way this case was tried. That's not the way 22 23 this claim was framed. And if the question is 24 does the system actually operate in the way that 25 we're describing, then this case should be

- 1 remanded for a hearing to determine whether it
- 2 does.
- JUSTICE KAVANAUGH: And what's the
- 4 problem with the shall issue regimes from your
- 5 perspective that exist in many other states,
- 6 including very populous states, you know,
- 7 Florida, Illinois?
- 8 MS. UNDERWOOD: The problem with the
- 9 shall issue regimes is that they multiply the
- 10 number of firearms that are being carried in
- 11 very densely populated places, and there is a
- 12 much higher risk -- with -- without assuming any
- ill intent on the part of the carriers of
- weapons, they -- they greatly proliferate the
- 15 likelihood that mistakes will be made, fights
- 16 will break out --
- 17 JUSTICE KAVANAUGH: But --
- MS. UNDERWOOD: -- guns will be sold.
- 19 JUSTICE KAVANAUGH: -- has that
- 20 happened in those states? I mean, can you make
- 21 a comparative judgment? Because it seems like
- 22 before you impose more restrictions on
- 23 individual citizens and infringe their
- 24 constitutional rights based on this theory, you
- 25 should have to show, well, in those other states

- 1 that have shall issue regimes, actually, there
- is a lot more accidents, crime. And I don't see
- 3 any real evidence of that.
- 4 MS. UNDERWOOD: Yeah, I think the --
- 5 there is a brief from the social scientists that
- 6 addresses this, but this law has been in place
- 7 since 19 -- for over a hundred years, starting
- 8 when the -- at -- at a time when the -- when the
- 9 law was not as well understood in this area as
- 10 -- as -- as it is now.
- 11 And so it's a little bit anachronistic
- to talk about before you put this law in place
- 13 you should have evidence. But I -- I believe
- 14 there is evidence about the success that New
- 15 York has had in keeping -- in -- in -- that is
- 16 -- in keeping gun violence down that is
- 17 attributable to the reduced number of guns that
- 18 are being carried and particularly in these
- 19 densely populated places. So --
- 20 JUSTICE KAGAN: General, you know, one
- 21 of the things that strikes me about this area is
- 22 that, on the one hand, it -- it seems completely
- intuitive to me and I think to many people. I
- 24 mean, if you think about Justice Thomas's
- questions about less populated areas, the rural

- 1 areas of New York versus the cities, I mean, it
- 2 seems completely intuitive that there should be
- 3 different gun regimes in New York than in
- 4 Wyoming or that there should be different gun
- 5 regimes in New York City than in rural counties
- 6 upstate.
- 7 But it's a -- it's -- it's a hard
- 8 thing to -- to match with our notion of
- 9 constitutional rights generally.
- I mean, Mr. Clement makes a big point
- of this in his brief about how we would never
- 12 really dream of doing that for the First
- 13 Amendment or other constitutional rights, allow
- that level of local flexibility that you're
- 15 basically saying we should allow in this
- 16 context.
- 17 So I guess I just want to hear you say
- 18 why you think that is. You know, what
- 19 justification is there for allowing greater
- 20 flexibility here?
- MS. UNDERWOOD: Well, I think one
- 22 point is that there is a very wide range of sort
- of distribution of rural and urban, different
- 24 kinds of areas, not just across the whole state
- 25 but within counties.

1 And so delegating the decision-making 2 with appropriate criteria to somebody who is 3 local, which is what this is, these are local judges, in most of the states, they're --4 they're judges, to make the relevant 5 6 fact-findings, to make the relevant inquiry. 7 This is a -- this is an interactive process in which these individuals and others are told I'm 8 9 not going to lift the restrictions now, but if you come back, if you have more to -- to say 10 11 about this, you know, feel free to come back. 12 It's an ongoing process. It's one 13 reason why there isn't so much appellate litigation, is that it is -- is that that is 14 15 what happens. 16 So it's hard to see how you could 17 specify everything in advance and have it be a 18 clear on/off switch and still take adequate 19 account of, on the one hand, the need for 20 self-defense and, on the other hand, the strong 21 public safety concerns. And that's why I think 2.2 this system --23 JUSTICE SOTOMAYOR: I don't think that 24 was Justice Kagan's question. 25 MS. UNDERWOOD: Oh, I'm sorry.

1	JUSTICE SOTOMAYOR: It was on a
2	broader level, I believe. She can correct me if
3	I'm wrong. The issue is no other constitutional
4	right do we condition on permitting different
5	jurisdictions to pass different regulations or
6	but do we have any other constitutional right
7	whose exercise in history has been as varied as
8	gun possession and use?
9	MS. UNDERWOOD: Well, I think that's
10	that's right, both at the level the local
11	level and at the at the state-to-state level.
12	We have a strong history here of a range of
13	responses from state to state that is based on
14	local conditions and local concerns.
15	And what we have within New York is an
16	effort to recognize we have the same almost
17	the same range of different kinds of spaces
18	within the state, and this is the effort to
19	accommodate that.
20	And if the history warrants taking
21	local conditions and local population density
22	and so forth into account, it's hard to think of
23	another way to to effectively do that.
24	There is, after all, appellate review
25	available here, all the way to the central, you

- 1 know, to the highest state court.
- 2 CHIEF JUSTICE ROBERTS: Thank you,
- 3 counsel.
- 4 Justice Thomas, anything further?
- 5 JUSTICE THOMAS: But there are --
- 6 let's just take, for example, hunting. That's
- 7 something, I think, we can agree on. You can't
- 8 hunt in, I'm sure, with a gun in Central Park.
- 9 But I'm certain that there are places in upstate
- 10 New York or even in western New York where you
- 11 can. I -- I don't know.
- 12 MS. UNDERWOOD: Including Rensselaer
- 13 County, yes.
- 14 JUSTICE THOMAS: Yeah. So I think
- 15 what we're asking is, if you can have that
- 16 difference for the purpose of hunting
- 17 specifically, why can't you have a similar
- 18 tailored approach for Second Amendment based
- 19 upon, if it's density in New York City, if
- that's a problem, the subway, then you have a
- 21 different set of concerns in upstate New York?
- MS. UNDERWOOD: Well, hunting permits
- 23 work for particular locations, for particular
- 24 areas, and -- but it's all one statewide regime,
- I mean, and so too here licenses are handled

- locally. It's not exactly the same, but it's
- 2 the same model that licensing of -- of -- of
- 3 handguns -- to carry a handgun for self-defense
- 4 is handled locally under a single set of
- 5 criteria but with reference to local conditions.
- 6 I think that's my answer to the question.
- 7 CHIEF JUSTICE ROBERTS: Justice
- 8 Breyer?
- 9 JUSTICE BREYER: Are we considering
- 10 here just the upper state New York law? We're
- 11 not considering New York City, are we?
- MS. UNDERWOOD: I don't see any reason
- 13 to be considering New York City.
- JUSTICE BREYER: Okay. So it's not in
- 15 the case?
- 16 MS. UNDERWOOD: The Petitioners are
- 17 not from --
- JUSTICE BREYER: They're -- they're
- 19 not, okay. All right.
- MS. UNDERWOOD: Yeah.
- JUSTICE BREYER: Now, if you're trying
- 22 to get uniformity, doesn't the First
- 23 Amendment -- isn't it filled with -- local
- statutes use the word "may," parade permits,
- 25 event permits.

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1
               MS. UNDERWOOD: Yes.
 2
               JUSTICE BREYER: So it's not special?
 3
               MS. UNDERWOOD: Correct. In a -- in a
 4
 5
                JUSTICE BREYER: Can -- can you think
 6
     of --
 7
               MS. UNDERWOOD: -- in -- in the areas
     where permitting happens, which includes First
 8
      Amendment areas --
 9
10
                JUSTICE BREYER: Yeah.
11
                MS. UNDERWOOD: -- it could be
12
     parades, it could be solicitation for charity,
      there are various areas where First Amendment
13
14
     activity is --
15
                JUSTICE BREYER: All right. So -- so
16
     my -- my -- what I'm driving towards -- and I --
17
     and I thought also there is a brief here -- I
18
      think it's the social scientists, I don't
19
     remember the name of it -- which says in
20
      instances where -- and they do it
21
      statistically -- they are more liberal in
22
     allowing people to carry concealed weapons who
23
     are good character people and there is a greater
24
     risk of -- of crime or harm, where that happens,
25
      there are more deaths of innocent people.
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1 What is that brief? I'd like to go 2 back and look at the figures. 3 MS. UNDERWOOD: Yeah, I believe it is 4 5 JUSTICE BREYER: Do you know? MS. UNDERWOOD: -- a brief of social 6 7 scientists, but --8 JUSTICE BREYER: All right. I'll find it. 9 10 MS. UNDERWOOD: Yeah. 11 JUSTICE BREYER: But do you think it's 12 useful to -- were we to have a trial, could we go into that? I mean, I think the -- the great 13 14 problem would be, fine, let's have some absolute 15 rules, rules, uniform national rules. I'm not 16 sure we have those in the First Amendment, but 17 assume we do. 18 What are they? What are those rules? 19 MS. UNDERWOOD: Well, I think they 20 would end up being factors that have to be taken 21 into account because the range of situations is 2.2 so different both on the -- on the need side, on 23 the -- on the -- and on the -- on the 24 counter- -- on the public safety side.

So I think it's very hard. In fact,

- 1 that's one of the things that I think is hard
- 2 about the suggestion that a sensitive place
- 3 regime could replace a system like this.
- 4 JUSTICE BREYER: All right. If you
- 5 had to guess on how many carry -- conceal carry
- 6 licenses are given in the area under
- 7 consideration, upstate New York or outside of
- 8 New York City, in a given year or around -- any
- 9 way you want to put it, are they in the tens of
- 10 thousands?
- MS. UNDERWOOD: Well, in --
- 12 JUSTICE BREYER: Are they in the five
- 13 --
- MS. UNDERWOOD: So I -- I can't do it
- 15 statewide -- I have statewide estimates --
- JUSTICE BREYER: Yeah. Uh-huh.
- 17 MS. UNDERWOOD: -- not estimates, I
- 18 have permits I -- I -- for Rensselaer County and
- 19 for statewide. It would be possible to get
- 20 more, but we don't -- I don't have that.
- JUSTICE BREYER: Are they -- are they
- 22 rough? What are they?
- MS. UNDERWOOD: So -- so -- and this
- is in Footnote 10 of our brief. In the two-year
- period, 2018 to 2019, in -- in the state, there

- 1 were approximately 37,800 grants of --
- 2 JUSTICE BREYER: Okay. I get the idea
- 3 -- rough idea. And if, in fact, it were
- 4 remanded, I guess we could go into that in more
- 5 depth?
- 6 MS. UNDERWOOD: That's correct.
- 7 That's correct. We have the grants. Of course,
- 8 there are licenses that weren't granted in those
- 9 years that are still valid. So that doesn't
- 10 tell you how many -- how many licenses there are
- 11 out there altogether. The thing we had to
- 12 estimate was the grant rate because we don't
- 13 have application data. We had to -- we had to
- 14 estimate that from other information. But we
- 15 have the permits.
- 16 CHIEF JUSTICE ROBERTS: Justice Alito?
- 17 JUSTICE ALITO: Is it correct that the
- 18 non-speculative standard applies throughout the
- 19 state?
- MS. UNDERWOOD: It --
- 21 JUSTICE ALITO: It applies equally in
- 22 New York City and in the most rural location in
- 23 upstate New York?
- MS. UNDERWOOD: Well, it has been --
- 25 the law has been interpreted to mean that,

- 1 although the experience of granting licenses,
- 2 the experience with license applications is that
- 3 it is apparently more readily satisfied upstate.
- 4 JUSTICE ALITO: So the -- the
- 5 individual officers have a degree of discretion?
- 6 MS. UNDERWOOD: Well, yes, they are
- 7 asked -- like -- like judges on many issues,
- 8 they are asked to take into account certain
- 9 factors. They can be reversed if they took the
- 10 wrong factors into account or if they failed to
- 11 take the specified factors into account.
- 12 It's not unguided discretion, but it
- 13 is discretion --
- JUSTICE ALITO: What --
- MS. UNDERWOOD: -- in the sense that
- 16 --
- 17 JUSTICE ALITO: -- what -- what
- 18 guarantees, if any, are there in your regime
- 19 that a licensing officer is not taking into
- 20 account improper factors?
- MS. UNDERWOOD: I mean, this is a
- 22 question about the judicial system generally.
- 23 If he correctly records the factors that he took
- into account, they -- they write letters or
- opinions which may or may not fully disclose --

- one assumes will disclose what they thought was
- 2 important. When there's a -- there's a -- often
- 3 there are not just the papers, but there are the
- 4 -- if -- if he denies a license, he will say
- 5 why. He has to say why.
- 6 JUSTICE ALITO: We've been presented
- 7 in your brief and all the other briefs in this
- 8 case with an enormous amount of history,
- 9 citations to all sorts of statutes and other
- 10 sources.
- 11 Would you be willing to concede that
- maybe you got a little bit overly enthusiastic
- in your summary of some of the historical
- sources that you cited in your brief?
- I'm going to give you an --
- 16 MS. UNDERWOOD: We did our best to be
- 17 accurate --
- 18 JUSTICE ALITO: -- I'm going to give
- 19 you -- well, I'm going to give you an --
- 20 MS. UNDERWOOD: -- in reporting what
- 21 we reported. I don't know what you have in
- 22 mind.
- JUSTICE ALITO: Yeah. Well, I'm going
- 24 to give you an example, which is -- you know,
- it's troubling. I can see how it would slip

- 1 through. I'm not accusing you personally of
- 2 anything.
- But, on page 23, you say that in
- 4 founding-era America, legal reference guides
- 5 advised local officials to "arrest all such
- 6 persons as in your sight shall ride or go
- 7 armed." And this is a citation to John Haywood,
- 8 A Manual of the Laws of North Carolina, 1814.
- 9 So I looked at this manual, and what
- it actually says is "you shall arrest all such
- 11 persons as in your sight shall ride or go armed
- 12 offensively." And somehow that word
- "offensively" got dropped --
- MS. UNDERWOOD: Well, our --
- JUSTICE ALITO: -- from your brief.
- 16 MS. UNDERWOOD: I will --
- 17 JUSTICE ALITO: Do you think that's an
- irrelevant word?
- 19 MS. UNDERWOOD: I think it would have
- 20 been better to put it in and make an
- 21 explanation, but I do think it's an irrelevant
- 22 word because we have substantial authority for
- 23 the proposition that guns were deemed to be
- offensive weapons.
- 25 And that's why we have this dispute

- 1 about whether saying -- I mean, there are
- 2 different ways of putting it, offensively or
- 3 with offensive weapons or to the terror of the
- 4 people. These either describe a separate
- 5 characterization -- a -- a separate feature that
- 6 not all weapons have -- that's my friend's
- 7 position on this -- or they describe the belief
- 8 that all such weapons are offensive.
- 9 JUSTICE ALITO: Well, I don't want to
- 10 belabor the point, but, of course, if any
- 11 possession of weapons outside the home was
- 12 illegal, then there would be no need to put in
- the term "offensively," the inclusion of that
- 14 term.
- MS. UNDERWOOD: Well, there are many
- other weapon -- usually the -- there's a list
- 17 that's -- it's not in this particular
- instruction, but there would be a list of
- 19 weapons. They were talking about much more than
- 20 guns, and it was guns that were said over and
- 21 over again to be offensive --
- JUSTICE ALITO: All right. Well,
- thank you.
- MS. UNDERWOOD: -- weapons.
- JUSTICE ALITO: Thank you.

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1
                MS. UNDERWOOD: But that's the
 2
      explanation. I'm --
 3
                CHIEF JUSTICE ROBERTS:
                                        Justice
 4
      Sotomayor?
                Justice Kagan?
 5
 6
                JUSTICE KAGAN: You -- you started a
 7
      thought and then you were taken off someplace
      else, so I just wanted to allow you to finish
8
 9
      the thought. You -- this -- what you said was
10
      that there was a reason why the sensitive -- a
11
      sensitive place regime cannot serve as a
12
      replacement, and then you were not given an
13
      opportunity to say why. So why?
14
                MS. UNDERWOOD: Well, essentially,
15
     because there are -- it -- it is -- it would be
16
     very hard in the first instance and I think also
17
     not very acceptable in the second -- to -- to my
18
      adversaries, on the -- in the second instance,
      to specify in advance all the places that ought
19
     properly to be understood as sensitive.
20
21
                So it sounds like a very convenient
2.2
      alternative, but, for example, we were talking
23
      about Times Square on New Year's Eve. Times
      Square on -- when the theater district -- when
24
25
      -- when -- when commerce is in full swing, Times
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- 1 Square almost every night is
- 2 shoulder-to-shoulder people.
- 3 So then you -- you end up having a
- 4 very big difficulty in specifying what all the
- 5 places are that have the characteristics that
- 6 should make them sensitive. It -- it's -- it
- 7 has a -- in principle, it has an attractive
- 8 quality to it, but, in implementation, I think
- 9 it would be unsuccessful.
- 10 CHIEF JUSTICE ROBERTS: Justice
- 11 Gorsuch?
- 12 JUSTICE GORSUCH: No further
- 13 questions. Thank you.
- 14 CHIEF JUSTICE ROBERTS: Justice
- 15 Kavanaugh?
- JUSTICE KAVANAUGH: No. Thank you.
- 17 CHIEF JUSTICE ROBERTS: Justice
- 18 Barrett?
- JUSTICE BARRETT: Yes, I have one.
- General Underwood, do you think Heller
- 21 was rightly decided?
- MS. UNDERWOOD: I think there is a lot
- of support historically and otherwise for it, so
- 24 I'm -- I'm quite content to treat it as rightly
- 25 decided. I think there was an argument on the

- other side too, but that's true about many of --
- 2 maybe most of the difficult questions that come
- 3 before this Court. I have no quarrel with
- 4 Heller.
- 5 JUSTICE BARRETT: Do you think that we
- 6 are bound by the way that we characterized
- 7 history in that opinion? You know, Mr. Clement
- 8 has pointed out that in some respects the way
- 9 that we treated, say, the Statute of Northampton
- is different from the way that you argue that we
- 11 should interpret that and the follow-on, you
- 12 know, statutes, and the colonies, you argue that
- we should understand those and some other cases
- 14 differently than we did in Heller.
- 15 Are we free to do that?
- MS. UNDERWOOD: I think you are
- 17 because I think the Heller decision made very
- 18 clear that it was not deciding anything other
- 19 than the right to keep arms in the home.
- In the course of arriving at that
- 21 decision, it necessarily said a lot of other
- things that led to that decision, but I don't
- 23 think they are controlling or they -- I think
- the opinion itself says we're not trying to do a
- 25 full exegesis of the whole Second Amendment

- 1 right, and there's more to be -- there's more to
- 2 be done, and it would be odd and really
- 3 inconsistent with general practice to treat
- 4 every -- every sentence or every reference to a
- 5 historical source as controlling for all time as
- 6 distinguished from for the purposes for which it
- 7 was invoked.
- 8 JUSTICE BARRETT: Thank you, General.
- 9 CHIEF JUSTICE ROBERTS: Thank you,
- 10 General.
- 11 Mr. Fletcher.
- ORAL ARGUMENT OF BRIAN H. FLETCHER,
- for the united states, as amicus curiae,
- 14 SUPPORTING THE RESPONDENTS
- MR. FLETCHER: Thank you, Mr. Chief
- 16 Justice, and may it please the Court:
- 17 New York's proper cause requirement is
- 18 consistent with the Second Amendment because it
- is firmly grounded in our nation's history and
- 20 tradition of gun regulation.
- 21 As Justice Alito said, there's a lot
- of history floating around this morning, and so
- I want to be clear that, when I say that, I am
- 24 putting to the side all of the disputed bits
- about the Statute of Northampton, about the

- 1 surety laws, and I'm putting to the side laws
- 2 that restricted concealed carry but do not
- 3 restrict open carry, and I am focusing on laws
- 4 that either prohibited or required a showing of
- 5 good cause to carry a concealable weapon, like a
- 6 pistol.
- 7 Tennessee enacted one of those laws in
- 8 1821. Texas followed in 1871. New Mexico and
- 9 Arkansas likewise enacted such laws in the years
- 10 immediately after the ratification of the
- 11 Fourteenth Amendment. And over the decades that
- followed, more than a dozen other states enacted
- other laws that were at least as restrictive as
- 14 New York's. Like my friends from New York, I
- 15 count about 20 laws in total that fit that
- 16 description.
- 17 Those laws remain in force in seven
- 18 states today, and more than 80 million Americans
- 19 live under their protection. They are, in
- 20 short, both traditional and common regulations.
- I'd welcome the Court's questions or
- 22 I'm happy to continue.
- JUSTICE THOMAS: How do we determine
- 24 which states we should look to? And these are
- 25 -- and you -- you -- you focus a lot on western

- 1 states, but the west is different.
- 2 MR. FLETCHER: I agree, Justice
- 3 Thomas, and I think there might be reason to be
- 4 skeptical about a tradition that's only
- 5 reflected in one state.
- I think that's a problem for Mr.
- 7 Clement in relying on some of the cases
- 8 exclusively from the antebellum south. But the
- 9 cases that we're relying on come from the south,
- 10 like the Tennessee, Arkansas, and Texas law I
- 11 described. West Virginia had a similar law, as
- 12 did Alabama, New York, Massachusetts,
- 13 California, Hawaii.
- 14 The tradition that I am drawing on
- spans two centuries going back to the Tennessee
- law, spans 150 years when you broaden it out to
- many states, and spans all regions or virtually
- 18 all regions of the country.
- 19 So I think that's the sort of
- 20 tradition that you can look to when defining a
- 21 national tradition of gun regulation.
- 22 CHIEF JUSTICE ROBERTS: I mean, what
- is the appropriate analysis? I mean, you sort
- of -- we -- we, I think, generally don't
- 25 reinvent the wheel. I mean, the first thing I

- 1 would look to in answering this question is not
- 2 the Statute of Northampton, it's Heller, and
- 3 Heller has gone through all this stuff and,
- 4 obviously, in a somewhat different context,
- 5 although that's part of the debate, self-defense
- 6 at home. You know, this is different.
- 7 But I still think that you have to
- 8 begin with -- with Heller and its recognition
- 9 that the Second Amendment, you know, it -- it
- 10 has its own limitations, but it is to be
- interpreted the same way you'd interpret other
- 12 provisions of the Constitution.
- 13 And I wonder what your best answer is
- 14 to the point that Mr. Clement makes in his
- brief, which is that, for example, if you're
- 16 asserting a claim to confront the witnesses
- against you under the Constitution, you don't
- have to say I've got a special reason, this is
- 19 why I think it's important to my -- my defense.
- The Constitution gives you that right.
- 21 And if someone's going to take it away from you,
- they have to justify it. You don't have to say
- when you're looking for a permit to speak on a
- 24 street corner or whatever that, you know, your
- 25 speech is particularly important.

So why do you have to show in this 1 2 case, convince somebody, that you're entitled to 3 exercise your Second Amendment right? MR. FLETCHER: So let me start with 4 the general question and then get to that --5 6 CHIEF JUSTICE ROBERTS: Sure. 7 MR. FLETCHER: -- specific point for Mr. Clement. 8 9 As to the general question about 10 Heller, we agree completely that the Court ought 11 to apply the method from Heller, which we, like 12 I think all the parties, take to be look to the text, history, and tradition of the Second 13 14 Amendment right, and we're applying that now to 15 a somewhat different issue with the benefit of 16 somewhat broader materials. 17 Now, as to the question about why you have to have a showing of need, I think the 18 problem with Mr. Clement's formulation is that 19 20 it assumes the conclusion. 21 If you had a right, the Second 2.2 Amendment conferred a right to carry around a 23 weapon for possible self-defense just because an 24 individual wants to have one available, then, 25 obviously, you couldn't take away that right or

- 1 make it contingent upon a discretionary
- 2 determination.
- 3 But the whole question is whether the
- 4 Second Amendment right to keep and bear arms
- 5 confers that right to have a pistol with you for
- 6 self-defense even absent a showing of
- 7 demonstrated need.
- 8 CHIEF JUSTICE ROBERTS: Well, I'm not
- 9 sure that's right. I mean, you would --
- 10 regardless of what the right is, it would be
- 11 surprising to have it depend upon a permit
- 12 system. You can say that the right is limited
- in a particular way, just as First Amendment
- 14 rights are limited, but the idea that you need a
- 15 license to exercise the right, I think, is
- 16 unusual in the context of the Bill of Rights.
- 17 MR. FLETCHER: So I -- I agree with
- 18 that, but I think I heard even Mr. Clement in
- 19 response to a question from Justice Kavanaugh
- say he doesn't have a quarrel with licensing
- 21 regimes in general.
- 22 And I think what that is one
- 23 illustration of is that the Second Amendment has
- 24 a distinct history and tradition and that the
- 25 way to be faithful to the Second Amendment is to

- 1 be faithful to that history and tradition and
- 2 not to draw analogies to other rights with --
- 3 with their own histories and traditions.
- 4 CHIEF JUSTICE ROBERTS: Well, there's
- 5 licensing and there's licensing. Maybe it's one
- 6 thing to say we need to check, make sure you
- 7 don't have a criminal record, make sure that --
- 8 all the --
- 9 MR. FLETCHER: Right.
- 10 CHIEF JUSTICE ROBERTS: -- all the
- other things you can check on, but not that we
- 12 assume you don't have a right to exercise your
- 13 -- your --
- MR. FLETCHER: So I quess --
- 15 CHIEF JUSTICE ROBERTS: It's hard to
- 16 say it without saying it, exercise your right
- 17 under the Second Amendment, and you've got to
- 18 show us that -- that you do.
- 19 MR. FLETCHER: So we would ask that
- 20 question by looking to the history and tradition
- of the Second Amendment. And in Tennessee, in
- 22 1821, you couldn't carry a pistol at all. In
- Texas, in 1871, you had to have a showing of
- 24 need if you were going to carry a pistol.
- 25 And that showing of need was actually

- 1 much less favorable than the New York regime.
- 2 In Texas, in West Virginia, and in Alabama, in
- 3 those laws that we cite, need to carry a firearm
- 4 was a need that you had to show when you were
- 5 prosecuted for violating the law. It was
- 6 essentially a self-defense requirement. And you
- 7 had to persuade a jury in a criminal trial that
- 8 you had an immediate pressing need to be
- 9 carrying the gun when you were carrying it.
- The laws, of which New York's is one
- 11 but by no means the only example that began to
- become more prevalent in the 20th Century, said
- we're going to make that determination of need
- 14 ex ante. We're going to require a showing of
- 15 good cause.
- 16 JUSTICE KAVANAUGH: Can --
- 17 MR. FLETCHER: New York has done that
- 18 for a century. I'm sorry, Justice Kavanaugh.
- 19 JUSTICE KAVANAUGH: This might be a
- level of generality issue, but I think Mr.
- 21 Clement responded to what -- some of what you're
- 22 saying on history and tradition by saying you
- 23 have to look at carry laws more generally. And
- there was open carry traditions in a lot of
- 25 those states.

1 And so I think he followed up by 2 saying so open carry is one option. Shall carry 3 permit regimes for concealed carry, another option. But what you can't have is no open 4 carry and simply a may issue discretionary 5 regime that will, in practice, he says, limit 6 7 the right. So can you respond to that? 8 MR. FLETCHER: Yeah. I meant to be 9 taking that into account in the history --10 11 account of history that I'm giving you. So the 12 Tennessee laws refer specifically to carry 13 publicly or privately. Texas, the same story. 14 If I were here defending a regime that 15 just prohibited concealed carry and allowed open 16 carry, I would have many, many, many more 17 states. But I'm focused on just this type of 18 law, and even there, our submission is there's a 19 substantial history and tradition of that kind of regulation. It's not the sort of outlier 20 21 that the Court confronted in Heller and 2.2 McDonald. 23 And if I -- I could speak to -- Mr. Clement has spoken some about the case law from 24 25 the 19th Century and has suggested that laws

- 1 like these were struck down. And with all
- 2 respect to my friend, that's not correct.
- 3 The cases that he is relying on are
- 4 primarily dicta. The two cases he has that
- 5 actually struck down laws -- or, I'm sorry, the
- 6 three cases that he has that actually struck
- 7 down laws are the Nunn decision from Georgia,
- 8 which struck down a law that was -- banned even
- 9 the keeping of pistols. The Court did say in
- 10 dicta that open carry was required, but that
- 11 would -- that would -- the law was actually much
- 12 more restrictive than that.
- 13 The Andrews case that he relies on and
- that Heller relies on as well is actually more
- 15 helpful to us because the Court upheld a
- 16 prohibition on the carrying of belt or pocket
- 17 pistols, and it prohibited a ban on revolvers
- only because the Court construed that ban to be
- 19 so broad that it would prohibit even carrying it
- around your house.
- 21 And in the very next sentence, the
- 22 Court said: But, of course, the legislature, if
- it wanted to, could regulate the carrying of
- 24 that firearm publicly.
- 25 And then, when you turn to laws like

- 1 the ones that we have here, which include some
- 2 sort of self-defense exception, either ex-ante
- 3 or ex-post, the trend in the cases is in favor
- 4 of -- of upholding their constitutionality.
- 5 We've cited about six decisions from
- 6 the 1800s and the early 1900s, including the
- 7 Duke and English cases from Texas, the Isaiah
- 8 case from Alabama, the Haley and Fife cases from
- 9 Arkansas, and the Workman case from West
- 10 Virginia, all of which upheld those laws.
- 11 And Mr. Clement's answer to those
- 12 decisions is that they rested on the erroneous
- understanding that the Second Amendment or its
- 14 state equivalents protected only the right to
- 15 use arms in the militia.
- But that is not what those cases say.
- 17 They do not stop by saying that the defendants
- 18 were not militiamen and so had no rights. The
- 19 Texas cases in particular, in Duke and English,
- say that the law makes all necessary allowances
- 21 for self-defense by including the type of -- of
- 22 exception we described earlier.
- 23 And so our submission is that that
- 24 body of case law that New York law carries
- forward is part of our nation's history and

- 1 tradition of firearms regulation and that New
- 2 York ought to be allowed to continue to make the
- 3 choice that it has made.
- 4 Now we understand, and there's force
- 5 to Mr. Clement's argument, that other states
- 6 have made other choices. Justice Alito made
- 7 powerful points about how some individuals have
- 8 a powerful claim to have a gun for self-defense.
- 9 But the question before the Court is, of all of
- 10 the different approaches to these difficult
- issues that states and other jurisdictions have
- 12 taken over our nation's history, is this one
- 13 that the Second Amendment takes off the table?
- And our submission is that when it's
- an option that New York has and other states
- 16 have had for a century or more and that traces
- 17 as far back as some of the laws that I've been
- discussing into our nation's history, that's an
- 19 option that is consistent with our tradition of
- 20 gun regulation and is an option that ought to be
- 21 available to the states.
- 22 CHIEF JUSTICE ROBERTS: Justice
- 23 Thomas?
- JUSTICE THOMAS: No.
- 25 CHIEF JUSTICE ROBERTS: Justice

- 1 Breyer, any?
- Justice Alito?
- JUSTICE ALITO: Is it correct that the
- 4 Sullivan Law was an innovation when it was
- 5 adopted?
- 6 MR. FLETCHER: It was relatively new.
- 7 I think the Sullivan Law was 1911. The
- 8 licensing requirement at issue here was 1913. I
- 9 think Massachusetts had done something similar
- 10 in 1906. Hawaii did its as well in 1913. And
- 11 we view those as lineal descendents and, in
- 12 fact, improvements upon the sort of Texas laws
- which made you prove self-defense at the back
- 14 end rather than giving you a chance to
- 15 demonstrate it up front.
- 16 JUSTICE ALITO: There's a -- there's a
- 17 debate about the -- the impetus for the
- 18 enactment of the Sullivan Law, is there not?
- 19 There's -- there are those who argue, and they
- 20 cite -- they cite support for this
- 21 interpretation -- that a major reason for the
- 22 enactment of the Sullivan Law was the belief
- that certain disfavored groups, members of labor
- 24 unions, Blacks and Italians, were carrying guns
- and they were dangerous people and they wanted

- 1 them disarmed.
- 2 MR. FLETCHER: There have been those
- 3 arguments made, and there's certainly evidence
- 4 that those sentiments existed in New York at the
- 5 time. I have not seen things that persuade me
- 6 that those were the impetus for the Sullivan
- 7 Law.
- 8 And to the extent that that was a
- 9 question, I think the fact that similar laws
- 10 have been enacted and maintained not just in New
- 11 York and not just at that moment in time but in
- 12 a number of different states throughout the
- country throughout large swaths of our nation's
- 14 history is -- is good reason to believe that
- 15 this is not just prejudice, that this is a
- 16 legitimate regulation.
- 17 JUSTICE ALITO: I think one more
- 18 question about the major point that you've made
- 19 this morning, which is that there are scattered
- 20 statutes, local ordinances, judicial decisions
- 21 from various points in the 19th Century
- 22 extending into the 20th Century, the early 20th
- 23 Century, with the Sullivan Law and the other
- laws that you mentioned that are inconsistent
- with Mr. Clement's argument.

1	But what does that show about the
2	original understanding of the right that's
3	protected by the Second Amendment? Would
4	would we be receptive to arguments like that if
5	we were interpreting, let's say, the First
6	Amendment or the Confrontation Clause of the
7	Sixth Amendment? Would we say, well, you know,
8	you can find a lot of state laws and state court
9	decisions from the late from the 19th
LO	Century, early 20th Century, that are
L1	inconsistent with a claim that is made based on
L2	the original meaning of of a provision of the
L3	Bill of Rights, and that shows that that's what
L4	that was understood to mean at the time?
L5	MR. FLETCHER: Well, Justice Alito, I
L6	think Heller was receptive to those types of
L7	arguments and conducted a review of history
L8	through the 20th Century and rightly so, I
L9	think. It's not unusual to look to the nation's
20	tradition to understand the meaning of
21	constitutional rights. I think that's
22	especially appropriate here for a couple of
23	reasons.
24	One is that I think everyone agrees
2.5	that the right codified in the Second Amendment

- 1 is a right that is subject to some reasonable
- 2 regulations, and in deciding what regulations
- are reasonable, we think the fact that they've
- 4 been prevalent throughout our history is a good
- 5 sign that they are. We think that's especially
- 6 so because of a point that this Court made in
- 7 McDonald, which is that throughout the nation's
- 8 history, this is a right that's been recognized
- 9 and codified in state constitutions as well.
- 10 It's not something that people were not aware
- 11 of.
- 12 And so the fact that this type of
- 13 regulation coexisted for so long with that
- 14 understanding, we think, is a particularly
- 15 strong indication of its consistency.
- 16 JUSTICE ALITO: Well, Heller -- and --
- 17 and I will stop after this -- Heller cited
- decisions going into the 19th Century as
- 19 confirmation of what it had already concluded
- 20 based on text and history at or before the time
- of the adoption of the Second Amendment and said
- 22 this is what it was understood to mean at the
- 23 time and it's further evidence that this is what
- this right was understood to mean because it
- 25 kept being reaffirmed by decisions that came

- 1 after.
- 2 But I find it hard to understand how
- 3 later decisions and statutes, particularly when
- 4 you start to get into the late 19th Century and
- 5 the early 20th Century, can be used as a
- 6 substitute for evidence about what the right was
- 7 understood to mean in 1791 or 1868 if you think
- 8 that's the relevant date.
- 9 MR. FLETCHER: So you're certainly
- 10 right about the way that Heller looked to
- 11 decisions to -- on its core holding of does the
- 12 Second Amendment protect only a militia-focused
- 13 right or an individual right.
- 14 But, when Heller turned to the
- 15 question presented here, which is what sorts of
- 16 regulations are consistent with the right that
- it was recognizing, I think it's fairly read to
- 18 extend the analysis into the 20th Century for
- 19 the reason that Justice Kagan identified, that
- 20 it validated as presumptively lawful
- 21 felon-in-possession requirements, bans on the
- 22 possession of firearms by the mentally ill that
- 23 date to much later than the 19th Century.
- 24 JUSTICE ALITO: All right. Thank you.
- 25 CHIEF JUSTICE ROBERTS: Justice

- 1 Sotomayor?
- 2 JUSTICE SOTOMAYOR: What do you do
- 3 with Heller and its recognition of categories of
- 4 exclusion? Mentally ill, felons, domestic
- 5 violence, presumably, although it didn't mention
- 6 it. Can any of those pass strict scrutiny on
- 7 their face?
- 8 MR. FLETCHER: I don't know. I -- I
- 9 think what -- the lesson from Heller, though, is
- 10 that you don't need to apply strict scrutiny or
- any other level of scrutiny because those are
- 12 the types of regulations that are validated by
- our nation's history and tradition of gun
- 14 regulations. And so we would take that lesson
- from Heller as exemplifying the proper mode of
- 16 analysis and apply it here as well.
- 17 JUSTICE SOTOMAYOR: So what do you do
- 18 with the -- the view of your -- Mr. Clement's
- 19 view that the essence that Heller says is that
- you do have some sort of right outside of the
- 21 home to guns for self-defense? So how do you
- 22 finish what you think that right is or how do
- 23 you describe it?
- MR. FLETCHER: So we don't quarrel at
- 25 all with the notion that the Second Amendment

- 1 has something to say outside the home. Our
- 2 submission is just that to understand how it
- 3 applies outside the home, one has to look to the
- 4 history and tradition of regulations.
- 5 And what we've tried to argue in our
- 6 brief and this morning is that there is a
- 7 substantial history and tradition of the
- 8 regulation of the public carrying of concealable
- 9 weapons, including pistols, because of the
- dangers that they present and that regulations
- of that type, of which New York's is one, are
- 12 consistent with the right recognized in the
- 13 Second Amendment.
- 14 JUSTICE SOTOMAYOR: How about -- let's
- 15 go to the extreme. There's no exception for
- 16 good cause, there's no exception for long -- no
- 17 exceptions whatsoever, no rifles for hunting, no
- 18 -- nothing. Outside the home, you can't possess
- 19 any kind of ammunition-driven weapon.
- MR. FLETCHER: Yeah.
- JUSTICE SOTOMAYOR: Where would we be
- 22 with that?
- MR. FLETCHER: I think that is an -- a
- 24 type of regulation that fortunately no state has
- 25 today and that I don't think there's any

1 historical precedent for. I don't think you 2 could make this sort of argument --3 JUSTICE SOTOMAYOR: So --MR. FLETCHER: -- for that sort of 4 5 law. 6 JUSTICE SOTOMAYOR: -- so give me the 7 limiting principle of what regulations and how far they can go that don't achieve that. 8 9 MR. FLETCHER: Right. So I think, like Mr. Clement, it's -- it's going to be 10 11 difficult for me to give you definitive answers 12 because, in our view, this is an inquiry that 13 has to be driven by history and tradition, and 14 that requires a careful examination of history 15 and tradition. 16 But let me give you a couple of 17 guideposts. I think there is a tradition of 18 laws like the Tennessee law that I alluded to earlier and others that prohibit the carrying of 19 20 concealable weapons without any exception for 21 self-defense or -- or any good cause exception 2.2 like the one that you have in the New York law.

So we think, and -- and Judge Bybee

for the en banc Ninth Circuit concluded after an

exhaustive historical analysis, that those types

23

24

1 of regulations are consistent with the Second Amendment. But I acknowledge that that's a tougher historical case to make than the case 3 that you can make with respect to laws like New 4 York's that include self-defense exceptions. 5 JUSTICE SOTOMAYOR: Thank you. 6 7 CHIEF JUSTICE ROBERTS: Justice Kagan? JUSTICE KAGAN: Mr. Fletcher, I -- I 8 9 think I probably should have asked General 10 Underwood this question, but I forgot, so here 11 you are. 12 And the United States also has law enforcement officers, even though they operate 13 14 differently from sort of the cop on the beat, 15 but I'm just wondering if there is anything that 16 you can say, any evidence that you can share, 17 are there studies, is there information about how this actually affects how getting rid of --18 19 of this regime in the way that Mr. Clement would want this Court to do, how it affects policing, 20 21 how it affects the ability of police officers to 2.2 keep the streets safe and -- and how it affects 23 their own safety? Is there information about that?

there -- are there studies?

24

1 MR. FLETCHER: There are. I think the 2 -- the best place I can point you to for studies 3 are some of the amicus briefs, including the social scientists' brief that Justice Breyer 4 discussed with my colleague, General Underwood. 5 In terms of sort of the United States' 6 7 perspective specifically, I don't have any sort 8 of quantifiable statistics. What I can tell you is that we do share the concern behind the New 9 York law, which is the concern that having more 10 guns on the street does escalate -- does 11 12 complicate and increase the danger inherent in citizen/law enforcement encounters. We do think 13 14 that's a real concern and it's one of a number 15 of real concerns that are reflected in the law 16 that New York has. 17 JUSTICE KAGAN: I mean, do police 18 officers stop people in the same way in -notwithstanding what -- whether there are --19 whether it's a -- a New York regime or -- or a 20 21 more permissive regime? 2.2 MR. FLETCHER: I -- you know, I 23 apologize, I don't have studies on that. All 24 that I can give you is my own sense that if I were a police officer, I would certainly think 25

- 1 prominently in my mind about what are the odds
- 2 that the person that I'm stopping or approaching
- 3 in the middle of the highway, you know, late at
- 4 night is likely to be armed. And the licensing
- 5 regime in the state is going to be an important
- factor in the risk that that's the situation.
- 7 JUSTICE KAGAN: Thank you.
- 8 CHIEF JUSTICE ROBERTS: Justice
- 9 Gorsuch?
- 10 JUSTICE GORSUCH: Mr. Fletcher, in --
- in your brief, you -- you suggest that the New
- 12 York law passes both the history -- text and
- 13 history approach and -- and intermediate
- 14 scrutiny should we apply that.
- And I guess I'd like to pose the same
- 16 question to you that I did to Mr. Clement, and
- that is, what is the appropriate test between
- 18 those two or others?
- 19 The lower courts seem very divided
- 20 over how to approach Second Amendment questions.
- 21 Some apply the text and history approach to the
- 22 challenge before them. Others say, yes, text
- and history is appropriate, but we're not going
- to extend the Heller right until and unless the
- 25 Court first does so through its own text and

- 1 history analysis. We're not going to do it
- 2 ourselves. Others have applied intermediate
- 3 scrutiny. Others have applied what might be
- 4 described as a watered down version of immediate
- 5 -- intermediate scrutiny. And some have
- 6 suggested strict scrutiny or some modification
- 7 of it should apply.
- 8 I -- I -- I'd just be grateful for
- 9 your thoughts.
- 10 MR. FLETCHER: I appreciate the
- 11 question, Justice Gorsuch, and I think our view
- is that courts ought to follow what we
- understand to be the lesson from Heller, which
- is that you start with text, history, and
- tradition, and when those sources provide you an
- 16 answer one way or the other, either that the law
- 17 is valid or that it's invalid, you end there and
- 18 that's the end of the inquiry.
- 19 We take that approach to be consistent
- 20 with the approach described by Justice Kavanaugh
- in his dissent in Heller II. I think the one
- 22 place where we might differ from him a little
- 23 bit is that we think there may come a point,
- 24 especially as -- when courts confront new
- 25 regulations, where history gives out, where it's

- 1 not possible to draw those historical analogies
- 2 anymore.
- And at that point, our suggestion is
- 4 that the way to be faithful to history and
- 5 tradition is to look to the broader method that
- 6 you find in that history and tradition. And the
- 7 method that we find in a half dozen or so cases
- 8 from the mid-1800s that we cite is to ask
- 9 whether the law is a reasonable regulation. And
- 10 as we explained in our brief, we think that the
- 11 modern judicial method that is most faithful to
- 12 that approach is a form of intermediate
- 13 scrutiny.
- JUSTICE GORSUCH: Thank you.
- 15 CHIEF JUSTICE ROBERTS: Justice
- 16 Kavanaugh.
- JUSTICE KAVANAUGH: Thank you.
- 18 Mr. Fletcher, appreciate your focus on
- 19 history and tradition and want to explore that
- and get your thoughts on one thing. As you say,
- 21 there is a history and tradition, and it exists
- to the present day, of permitting regimes, and
- 23 so the issue before us will have effects, but
- it's a narrow legal issue of "shall issue"
- versus "may issue." And it'll have substantial

- 1 effects, but there is a tradition of permitting
- 2 regimes.
- But how do we think about, do you
- 4 think, kind of a separate tradition that the
- 5 Chief Justice and others have referred to in our
- 6 constitutional law of concern about too much
- 7 discretion in exercise of authority over
- 8 constitutional rights and that too much
- 9 discretion can lead to all sorts of problems, as
- 10 our history shows?
- 11 So you've got the tradition of
- 12 permitting, but how -- how do we think about,
- fold in, just a general concern about too much
- 14 discretion?
- MR. FLETCHER: So I -- I appreciate
- that concern, and I think here's how I would
- 17 think about it.
- 18 First, I would say you -- there is a
- 19 substantial history of discretion in this
- 20 particular area, starting out with juries in the
- 21 Texas and West Virginia type regimes that I
- 22 talked about now moving into permitting
- 23 officers. And I think that's inherent in any
- 24 system if you say a permit is going to be
- 25 conditioned upon a showing that you have a

1	genuine, specific need for self-defense, then
2	someone's got to make the decision about whether
3	or not you've made that showing. New York has
4	decided it's best to do that by delegating the
5	authority to local officers, local judges, who
6	are most familiar with local conditions.
7	I do appreciate the concern about
8	discretion, and I think, if the Court were to
9	conclude that some sort of good cause sort of
10	self-defense-based exception is is required,
11	then the Court might conclude that some more
12	predictable or stringent or prescriptive
13	guidelines are required, that you can't have
14	that much discretion if the Court concludes that
15	that sort of good cause exception is actually
16	constitutionally required.
17	JUSTICE KAVANAUGH: Thank you.
18	Appreciate it.
19	CHIEF JUSTICE ROBERTS: Justice
20	Barrett?
21	JUSTICE BARRETT: No.
22	CHIEF JUSTICE ROBERTS: Thank you,
23	counsel.
24	Rebuttal, Mr. Clement?
25	

1	REBUTTAL ARGUMENT OF PAUL D. CLEMENT
2	ON BEHALF OF THE PETITIONERS
3	MR. CLEMENT: Thank you, Mr. Chief
4	Justice. Just a few quick points in rebuttal.
5	First of all, I want to highlight that
6	when the government was asked for its interest
7	behind this permitting regime, it said that if
8	it went to a different regime, it would multiply
9	the number of firearms in circulation.
LO	In a country with the Second Amendment
L1	as a fundamental right, simply having more
L2	firearms cannot be a problem and can't be a
L3	government interest just to put a cap on the
L4	the number of firearms.
L5	And that just underscores how
L6	completely non-tailored this law is. It might
L7	be well tailored to keeping the number of
L8	handguns down, but it's not well tailored to
L9	identifying people who pose a particular risk or
20	anything else because it deprives a typical New
21	Yorker of their right to carry for self-defense.
22	The second point I want to make is
23	just about population density. There's been a
24	lot of discussion about that, but it's very much
25	a double-edged sword because, when there's

- 1 population density, that's an awful lot of
- 2 people who all have Second Amendment rights, and
- 3 so you can't just simply say we're not going to
- 4 have Second Amendment rights in the areas where
- 5 there's dense population.
- 6 And I would say, here, experience does
- 7 tell you a lot. By my count, seven of the 10
- 8 largest cities in America, measured by
- 9 population, are in shall issue jurisdictions.
- 10 And I've mentioned them, cities like Phoenix,
- 11 Chicago, Houston. These are large cities where
- it hasn't been a problem.
- 13 If you want to look at the empirical
- 14 evidence -- and I know, Justice Breyer, you
- 15 asked about this -- please also look at the
- 16 English brief on the top side because it's a
- very rigorous statistical analysis that shows
- that, as a matter of actually doing statistics
- 19 right, there's no difference here, and what --
- 20 the only difference you really see is that
- 21 people who have a handgun for self-defense end
- 22 up with a better outcome. They're not shot.
- 23 They're -- they're not made victims. But the
- 24 English brief, I think, is really worth taking a
- look at.

Т	I want to say a quick word just about
2	permitting. There may be limiting permitting in
3	other contexts, like parade permitting, but I'm
4	not aware of any context whatsoever where, in
5	order to get a permit, you have to show that you
6	have a particularly good need to exercise your
7	constitutional right. And I think that is the
8	absolute central defect with New York's regime
9	here.
LO	I want to say a quick word about the
L1	history that my friend from the Solicitor
L2	General's Office emphasized. It's telling that
L3	his first example is Tennessee. If you look at
L4	the Heller decision, Tennessee is a problematic
L5	state in terms of its history. The court gave
L6	that Tennessee Supreme Court first came out
L7	with the Aymette decision, which the majority
L8	opinion in Heller criticized. It then came out
L9	with the Simpson decision and the Andrews
20	decision, both of which protected Second
21	Amendment rights, and the majority opinion in
22	Heller praised those decisions at the same time
23	that it criticized Aymette. So, to the extent
24	there was an 1821 statute, I would put it in the
25	same box as the Aymette decision.

1	Texas, which is their next example and
2	their only other 19th Century example if I heard
3	my friend correctly, is even more problematic to
4	rely on because Texas had a specific
5	constitutional amendment that was similar to the
6	English Bill of Rights but differed from the
7	Second Amendment, that allowed the legislature
8	to put specific restrictions on the right. So
9	relying on 1871 Texas is highly problematic from
LO	a historical perspective.
L1	And that just leaves them with 20th
L2	Century examples, which we concede, but, by that
L3	point, the collective rights view of the Second
L4	Amendment was everywhere.
L5	Let me finish just by saying there's
L6	absolutely no need for a remand here. There are
L7	interesting statistics that could be developed,
L8	but none of them are relevant to the two central
L9	defects in this regime.
20	First, that in order to exercise a
21	constitutional right that New York is willing to
22	concede extends outside the home, you have to
23	show that you have an atypical need to exercise
24	the right that distinguishes you from the
25	general community. That describes a privilege.

- 1 It does not describe a constitutional right.
- 2 That is a sufficient basis to invalidate the
- 3 law.
- 4 But then there's the discretion, and
- 5 the discretion here has real-world costs. If
- 6 you want to look at it, look at the amicus brief
- 7 in our support by the Bronx Public Defenders and
- 8 other public defenders. The cost of this kind
- 9 of discretion is that people are charged with
- 10 violent crimes even though they have no private
- 11 -- no prior record just because they are trying
- 12 to exercise their constitutional right to
- 13 self-defense.
- 14 And if you want to know how this
- impacts policing, one of the ways essentially
- 16 making everybody in New York City a presumptive
- 17 person who is unlawfully carrying is that leads
- 18 to stopping and frisking everybody.
- 19 The framers, I think, had a different
- 20 vision of the Fourth Amendment and the Second
- 21 Amendment, and that is that individuals get to
- 22 make their decision about whether or not they
- 23 want to carry a firearm outside the home for
- 24 self-defense.
- In 43 states, people are able to do

_	chat. It has not it doesn't mean everybody
2	ends up carrying, and it doesn't mean that those
3	43 states have any more problems with violent
4	crimes or anything else than the six or seven
5	jurisdictions that don't honor the text, the
6	history of the Second Amendment, and Heller.
7	Thank you, Your Honors.
8	CHIEF JUSTICE ROBERTS: Thank you,
9	counsel. The case is submitted.
LO	(Whereupon, at 11:58 a.m., the case
L1	was submitted.)
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